

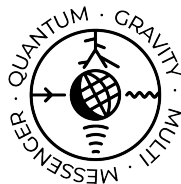
# Collaborations between collaborations in astroparticle physics

The example of the Pierre Auger Observatory and Telescope Array



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# Outline

## 1 Introduction

- Ultra-high-energy cosmic rays and extensive air showers
- The current generation of UHECR observatories: Auger and TA

## 2 The Auger-TA joint working groups

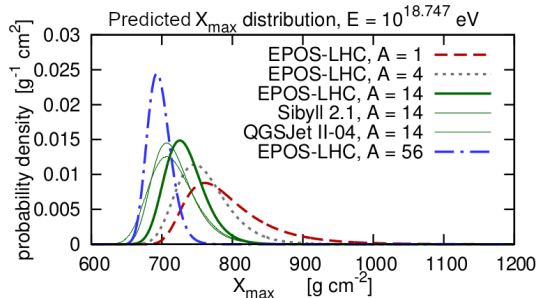
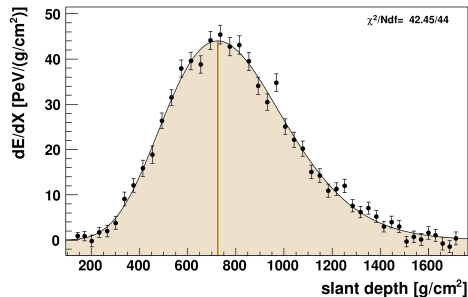
- Energy spectrum
- Mass composition
- Arrival directions

## 3 Future prospects

- **Ultra-high-energy cosmic rays (UHECRs)**: atomic nuclei (mainly protons) from outer space with energies  $E \geq 1 \text{ EeV} = 10^{18} \text{ eV} \approx 0.16 \text{ J}$ 
  - Observed since the 1960s, with  $E$  up to a few hundred EeV per nucleus
  - $\sim 300 \text{ nuclei}/(\text{km}^2 \text{ yr sr})$ , steeply decreasing with energy
- **Extensive air shower (EAS)**: a hadronic cascade initiated by a UHECR nucleus in the atmosphere, spread over an area  $\gg 1 \text{ km}^2$ 
  - **UV fluorescence light** emitted by  $\text{N}_2$  molecules excited by charged EAS particles: detected by **fluorescence detector (FD)** telescopes during clear moonless nights
  - EAS particles **reaching ground level** (electrons, photons, muons): detected by **surface detector (SD)** arrays (e.g. water Cherenkov, plastic scintillators)
- **Modern UHECR observatories**: combining **both FD telescopes and SD arrays**
- EAS events simultaneously detected by both (**hybrid events**): used for calibrating SD reconstructions (with much larger statistics) to FD ones (better systematics)

# EAS reconstruction from FD measurements

- By dividing fluorescence light measurements by the **fluorescence yield** of air, we get the **shower profile**  $dE/dX$  (energy deposit per unit atmospheric depth).
- **Calorimetric energy**:  $E_{\text{cal}} = \int \frac{dE}{dX} dX$ , from which we can find the total energy  $E$  by adding an estimate of the **invisible energy**  $E_{\text{inv}}$  taken underground by  $\nu, \mu$
- **Shower maximum depth**:  $X_{\text{max}} = \arg \max_X \frac{dE}{dX}$ , whose distribution is sensitive to the UHECR mass composition (but also to properties of **hadronic interactions**)



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# The Pierre Auger Observatory (Auger) (2004-)



The largest CR detector array in the world

373 collaborators from 91 institutions in 18 countries

**Location** Mendoza Province, Argentina

35.2° S, 69.2° W, 1 400 m a.s.l. ( $\approx 880 \text{ g/cm}^2$ )

**Main array for UHE** operating since 01 Jan 2004:

**SD** 1 600 water Cherenkov detectors spread over a 3 000 km<sup>2</sup> triangular grid (1.5 km spacing)

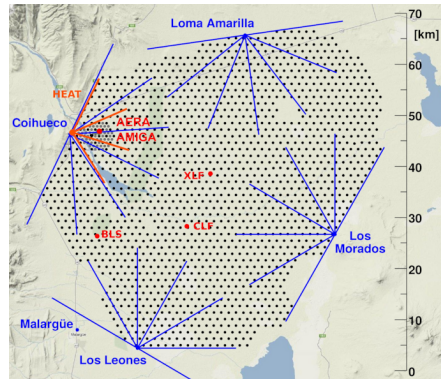
**FD** 24 telescopes on 4 sites on edge of SD array

**Aperture**  $\theta_{\text{zenith}} < 80^\circ$  (declination  $\delta < +44.8^\circ$ )

**Systematic uncertainty on energy scale**  $\pm 14\%$

**Low-energy extension** (HEAT and AMIGA):

- 3 extra FD telescopes at higher elevation
- 73 extra SDs with 750 m and 433 m spacing



# The Telescope Array (TA) (2008–)

Largest CR detector array in the Northern Hemisphere 140 collaborators, 32 institutions, 7 countries

**Location** Millard County, Utah, USA

39.3°N, 112.9°W, 1 400 m a.s.l. ( $\approx 880 \text{ g/cm}^2$ )

**Main array for UHE** operating since 11 May 2008:

**SD** 507 plastic scintillator detectors spread over a  $700 \text{ km}^2$  square grid (1.2 km spacing)

**FD** 38 telescopes on 3 sites on edge of SD array

**Aperture**  $\theta_{\text{zenith}} < 55^\circ$  (declination  $\delta > -15.7^\circ$ )

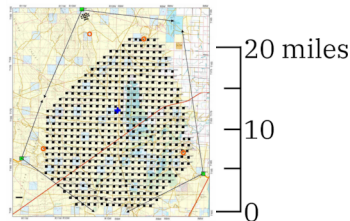
**Systematic uncertainty on energy scale**  $\pm 21\%$

**Low-energy extension (TALE):**

- 10 extra FD telescopes at higher elevation
- 80 extra SDs with 400 m and 600 m spacing

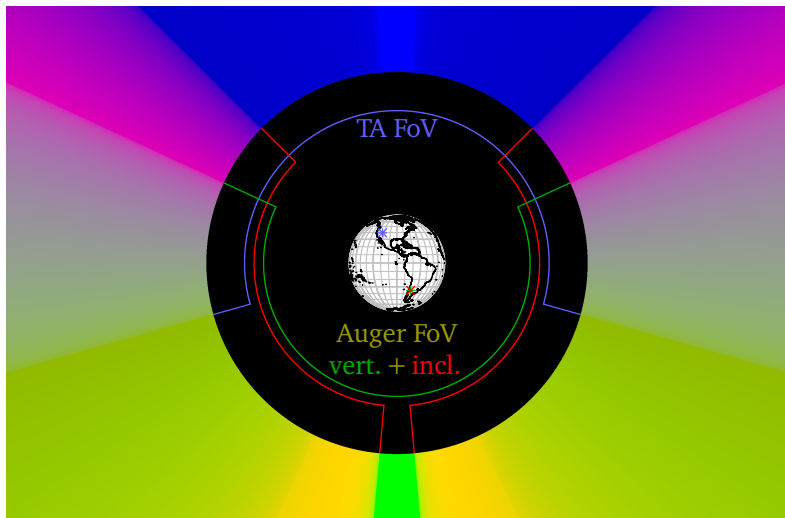


■ Battery of Telescopes   
 ■ Particle Detector   
 ○ Communications Tower   
 + CLF



# Fields of view of Auger and TA

- Neither TA alone nor Auger alone covers the full sky.
- Together they do:
  - TA full northern hemisphere plus part of southern one
  - Auger vice versa
- Overlap in a band surrounding the celestial equator





# Auger-TA joint working groups (WGs)

- Several **Auger-TA joint WGs** have been established since the early 2010s to perform **full-sky UHECR studies**:
  - Energy spectrum
  - Mass composition
  - Arrival directions
  - Auger@TA
- **Data in the common band** allow us to know whether any disagreements can be due to **different fields of view** or must be due to **systematic errors**.
- A few WGs also include other collaborations:
  - **Hadronic interactions and shower physics** (with EAS-MSU, IceCube, KASCADE-Grande, NEVOD-DECOR, SUGAR and Yakutsk)
  - **Neutrinos** (with ANTARES and IceCube)
- The WGs usually present their results (list at <http://tiny.cc/Auger-TA>) at the **International Symposium on Ultra-High-Energy Cosmic Rays (UHECR)** and sometimes at the **International Cosmic Ray Conference (ICRC)**.
- I am an Auger Collaboration member, a former TA Collaboration member, and a member of the joint WG on arrival directions (but not here on behalf of either).

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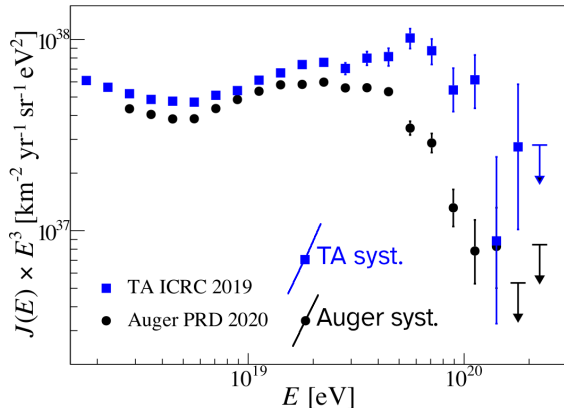
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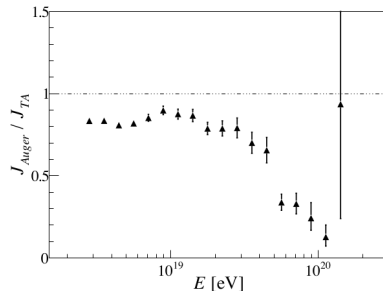
# Full-sky energy spectrum

Auger: declinations  $-90^\circ \leq \delta < +24.8^\circ$ ; TA: declinations  $-15.7^\circ < \delta \leq +90^\circ$



([Auger & TA collabs. UHECR 2022](#))

- At low energies: Auger and TA data **in agreement** within systematics 😊
- At the highest energies: **considerable discrepancy** — Auger measurements lower than TA ones

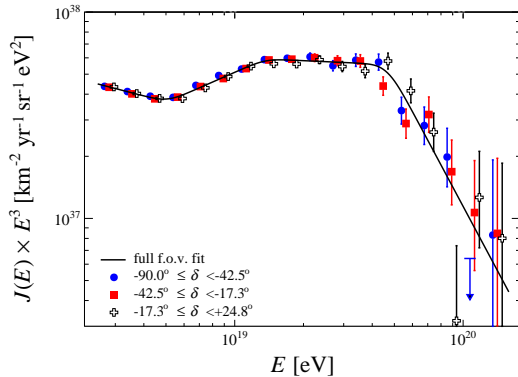


# Might *part* of this be astrophysical? (Yes.)

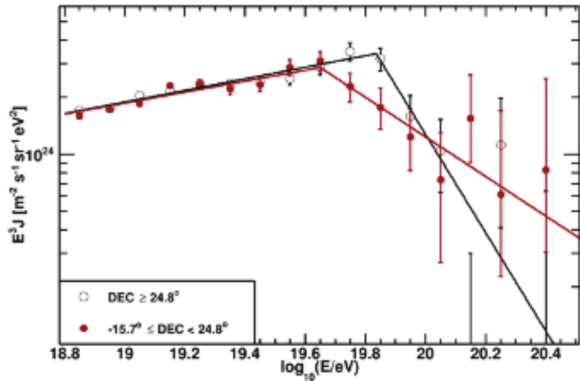
“**southern**” =  $[-90^\circ, -15.7^\circ]$ , “**equatorial**” =  $(-15.7^\circ, +24.8^\circ)$ , “**northern**” =  $[+24.8^\circ, +90^\circ]$

**TA data:** spectrum cutoff higher at “**northern**” than at “**equatorial**” declinations

**Auger data:** spectrum at “**southern**” and “**equatorial**” declinations in good agreement



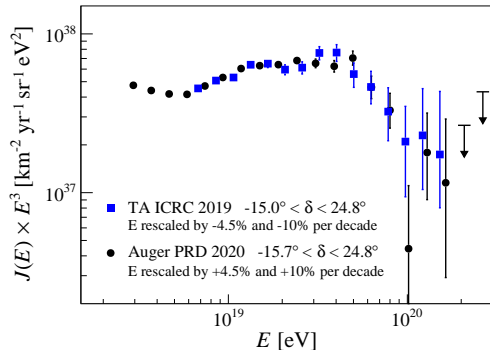
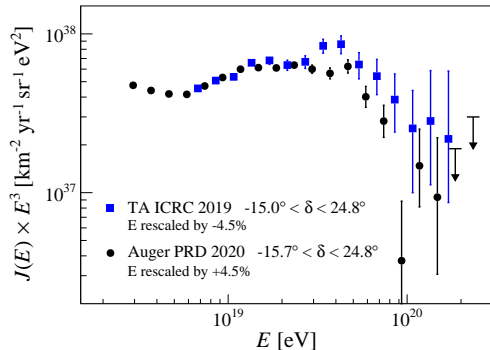
([Auger collab. 2020](#))



([TA collab. UHECR 2022](#))

# But what about the common declination band?

- Some of the difference even **looking at declinations  $-15.7^\circ < \delta < +24.8^\circ$  only** ([Auger & TA collabs. UHECR 2022](#)), hence **not all of it astrophysical**
- Energies must be underestimated by Auger &/or overestimated by TA ( $9\% + \frac{20\%}{\text{dex}}$ )
  - **Note:** spectrum approximately  $\propto E^{-3}$ , so overestimating event energies by  $X\%$  results in an overestimate  $\sim 2X\%$  of the spectrum at a given energy



# What might be causing this?

- 9% mismatch in energies at 10 EeV well within known systematics

Systematic uncertainties in the energy scale

	TA	Auger
Fluorescence Yield	11%	3.6%
Atmosphere	11%	3.4%–6.2%
FD Calibration	10%	9.9%
FD Reconstruction	9%	6.5%–5.6%
Invisible Energy	5%	3%–1.5%
Other contributions		5%
Total	21%	14%

([Auger & TA collabs. UHECR 2022](#))

- Possible causes of the 20%/decade increase with energy under investigation (known systematics so far:  $\pm 3\%$ /dex in Auger,  $\pm 9\%$ /dex in TA)

# The fluorescence yield

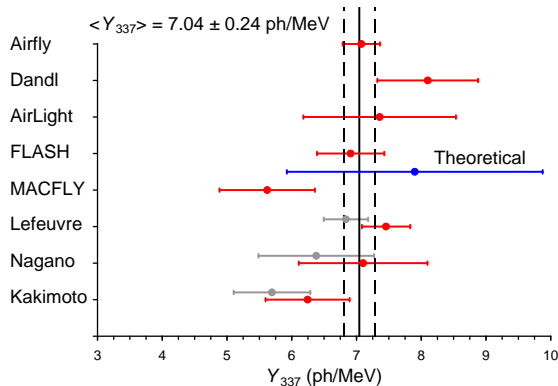
- Auger uses AIRFLY measurements ([AIRFLY collab. 2007](#), [AIRFLY collab. 2013](#))  $\approx 7.1 \frac{\text{ph}}{\text{MeV}}$ .
- TA uses the FLASH wavelength spectrum ([Abbasi et al. 2008](#)) normalized to the Kakimoto absolute yield ([Kakimoto et al. 1996](#))  $\approx 6.2 \frac{\text{ph}}{\text{MeV}}$ .
- Using the **TA model** in Auger would **increase Auger energies** by 12%.
- Using the **Auger model** in TA would **decrease TA energies** by 14% ([Auger & TA collabs. UHECR 2014](#)).
- Which one is closer to the truth?
- A WG had been tasked to devise a common model, with a series of workshops from 2002 to 2011 (see [Keilhauer et al. UHECR 2012](#), [Tsunesada et al. ICRC 2013](#), and references therein), but it apparently has not been active for over a decade, and each collaboration has persisted in sticking to its own model.
- “The convergence toward the use of the same models in both experiments would have obvious benefits for both the Collaborations and the community.” ([Auger & TA collabs. UHECR 2022](#))

# The invisible energy

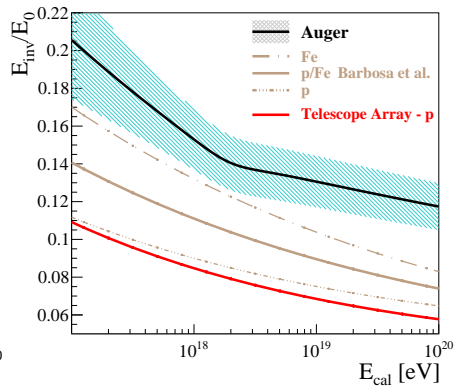
- Auger estimates the invisible energy based on SD **measurements** of muons in EASs ([Auger collab. 2019](#))  $\sim 0.13E$ .
  - **Neutrinos and muons**: both produced by the decay of charged pions hence **presumably proportional to each other**
- TA estimates the invisible energy based on QGSJET II-03 **simulations** of proton-initiated EASs ([TA collab. ICRC 2017](#))  $\sim 0.07E$ .
  - **Hadronic interaction models**, including QGSJET II-03: now known to severely **underestimate the muons** in EASs ([eight collabs. UHECR 2022](#) and references therein).
  - UHECRs: now known to include a sizeable fraction of nuclei **heavier than protons**, whose EASs **contain more muons**
- Using the **TA invisible energy** in Auger would **decrease Auger energies** by 6%.
- Using the **Auger invisible energy** in TA would **increase TA energies** by 7%.



# Fluorescence yield and invisible energy



([Rosado et al. 2014](#))



([Auger collab. 2019](#))

- Using **both the same FY and the same  $E_{inv}$**  would bring the Auger and TA spectra **almost on top of each other** (within a few per cent) up to several tens of EeV!
- This has been known since 2014 ([Auger & TA collabs. UHECR 2014](#))!

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- Energy spectrum
- **Mass composition**
- Arrival directions

3

## Future prospects

# Mass composition

- Folk lore has it that TA measurements in the north indicate UHECRs are protons, and Auger measurements in the south indicate a heavier composition. ● **Why?**
- **Mass composition of UHECRs estimated** from  $X_{\max}$  distributions
- **Considerable differences** among **hadronic interaction models** (especially pre-LHC ones)
- To be sure that Auger–TA differences are not due to hadronic interaction models,  $X_{\max}$  **distributions themselves should be compared**, not mass estimates.
- Complicated by **different treatments of detector biases** (usually folded *into* simulations by TA, *out of* measurements by Auger):  
**TA biases to be folded into Auger measurements**

# Results

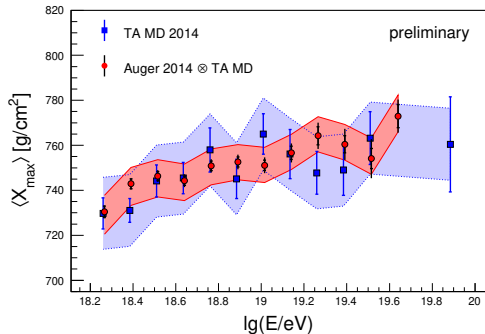
- Auger and TA results **on top of each other** when comparing apples to apples:

$$\langle X_{\max} \rangle_{\text{Auger}} - \langle X_{\max} \rangle_{\text{TA}} = (2.9 \pm 2.7_{\text{stat}} \pm 18_{\text{syst}}^*) \text{ g/cm}^2$$

- This has been known since 2014!**
- How come claims to the contrary have persisted much longer than that?

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\***Eighteen**, not a typo for 1.8  
(17 g/cm<sup>2</sup> roughly corresponds to a factor of 2 in the mass number  $A$ )



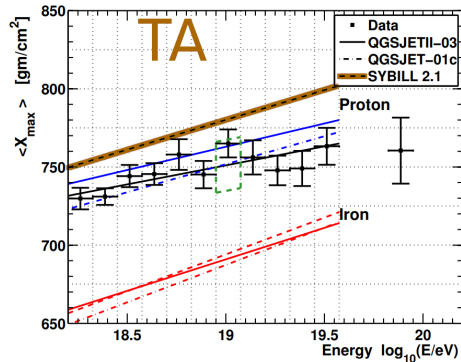
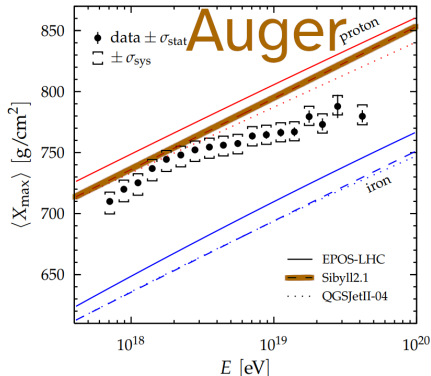
([Auger & TA collabs. UHECR 2014](#))

# Reasons for Auger-TA differences

## 1 Different hadronic interaction models:

Auger-only  
 ICRC 2015 EPOS-LHC, QGSJET II-04, SIBYLL 2.1  
 ICRC 2017 EPOS-LHC, QGSJET II-04, SIBYLL 2.3  
 ICRC 2019 EPOS-LHC, QGSJET II-04, SIBYLL 2.3c

TA-only  
 QGSJET II-03, QGSJET 01c, SIBYLL 2.1  
 QGSJET II-03, QGSJET II-04  
 QGSJET II-04



## 2 Different analyses:

- Auger fits  $X_{\max}$  distributions as a mixture of hydrogen, helium, nitrogen and iron, with  $f_{\text{H}}, f_{\text{He}}, f_{\text{N}}$  as free parameters and  $f_{\text{Fe}} = 1 - f_{\text{H}} - f_{\text{He}} - f_{\text{N}}$   
**Assuming QGSJet:** gradual transition from H to He dominance around  $10^{18.9}$  eV; N and Fe compatible with zero at all  $E$ ; **poor fit to width of distribution**  
**Assuming EPOS or Sibyll:** gradual transition from H to He around  $10^{18.5}$  eV, then from He to N around  $10^{19.2}$  eV; Fe compatible with zero ([Auger collab. ICRC 2017](#))
- Until 2018, TA **only considered pure compositions**, shifting model predictions by a fitted parameter to account for model uncertainty (and measurement systematics)  
 $E < 10^{18.8}$  eV: Any pure element other than H excluded at  $p < 10^{-3}$   
 $E < 10^{19.2}$  eV: Pure elements other than H or He excluded at  $p < 10^{-3}$   
 $E \geq 10^{19.4}$  eV: Not enough data to exclude anything from H to Fe at  $p < 5\%$   
([TA collab. UHECR 2018](#))
- In 2019, TA started considering mixed compositions, and did find sizeable fractions of heavier elements at the best fit ([TA collab. ICRC 2019](#))

## 3 Larger statistical error bars in TA, making it harder to rule out QGSJet protons

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# The issue of the energy scale cross-calibration

- The **mismatch between Auger and TA energy scales** would yield **spurious north-south anisotropies** unless corrected for.
- For example, assume events with  $E_{\text{true}} = 10$  EeV are reconstructed as  $E_{\text{rec}} = 9$  EeV by Auger and as  $E_{\text{rec}} = 11$  EeV by TA:
  - If we select all events with  $E_{\text{rec}} \geq 10$  EeV, then events with  $E_{\text{true}} = 10$  EeV are included if detected by TA but not if detected by Auger.
  - This would look like the UHECR flux from the north was larger than from the south.
- Hence, we should **correct for the energy scale mismatch the best we can**.
- **Measurements in the common declination band** can be used for this.



# Techniques for the energy scale cross-calibration — I

$\delta$  = declination,  $\alpha$  = right ascension,  $\hat{n} = (\cos \alpha \cos \delta, \sin \alpha \cos \delta, \sin \delta)$ ,  $d\Omega = d\alpha d(\sin \delta)$

## 2014–2015 ([Auger & TA collabs. 2014](#), [Auger & TA collabs. ICRC 2015](#))

- 1 Auger events  $E \geq 8.5$  EeV and TA events with  $E \geq 10$  EeV selected
- 2 **Residual energy mismatch** treated as an **exposure correction**,  
i.e. directional exposure assumed to be  $\omega(\hat{n}) = \omega_{\text{TA}}(\hat{n}) + b\omega_{\text{Auger}}(\hat{n})$ ,  
using an iterative procedure to estimate  $b$

## 2016–2019 ([Auger & TA collabs. UHECR 2016](#), [Auger & TA collabs. UHECR 2018](#))

- 1 TA data used to estimate the **cumulative flux**  $\int_{10 \text{ EeV}}^{+\infty} \int_{\delta_{\min}}^{\delta_{\max}} J(E, \hat{n}) dE d\Omega$
- 2 Auger data used to estimate  $\int_{E_{\min}}^{+\infty} \int_{\delta_{\min}}^{\delta_{\max}} J(E, \hat{n}) dE d\Omega$  as a function of  $E_{\min}$ ,  
searching for the value resulting in a flux as close as possible to the TA one

# Techniques for the energy scale cross-calibration — II

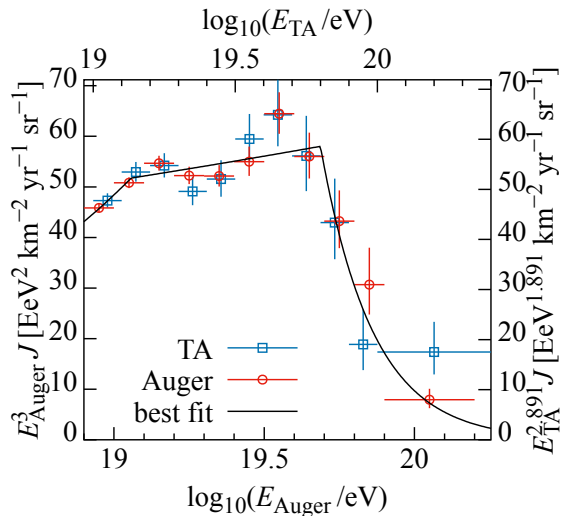
$\delta$  = declination,  $\alpha$  = right ascension,  $\hat{n} = (\cos \alpha \cos \delta, \sin \alpha \cos \delta, \sin \delta)$ ,  $d\Omega = d\alpha d(\sin \delta)$

**2021–present** ([Auger & TA collabs. ICRC 2021](#), [Auger & TA collabs. UHECR 2022](#))

- 1 Auger and TA data to estimate  $\int_{E_j}^{E_{j+1}} \int_{\delta_{\min}}^{\delta_{\max}} J(E, \hat{n}) d\Omega dE$  in various energy bins  $j$
  - 2 Establish parameterizations  $E_{\text{TA}} = \text{PL}(E_{\text{Auger}} | \theta_E)$ ,  $\int_{\delta_{\min}}^{\delta_{\max}} J(E, \hat{n}) d\Omega = \text{BPL}(E | \theta_J)$ , where PL = power law and BLP = broken power law
  - 3 Simultaneously fit the parameters  $\theta_E$  and  $\theta_J$  to the binned data
  - 4 Use the best-fit  $\theta_E$  to convert Auger energies to the TA scale and vice versa
- (**Note:** with these parameterizations, it's irrelevant whether Auger energies are assumed correct and TA energies are converted to the Auger scale or vice versa — identical results are obtained either way.)

# Energy cross-calibration results [\(Auger & TA collabs. UHECR 2022\)](#)

average spectrum in  $-11^\circ < \delta < +43^\circ$



- $\frac{E_{Auger}}{10 \text{ EeV}} = a \left( \frac{E_{TA}}{10 \text{ EeV}} \right)^b$ , where:

- $\ln a = -0.159 \pm 0.012$

- $b = 0.945 \pm 0.016$

- $\text{corr}(\ln a, b) = -0.17$

$$\chi^2/n = 20.7/14 \quad (p = 0.11)$$

$$E_{Auger} = 8.53 \text{ EeV} \leftrightarrow E_{TA} = 10 \text{ EeV}$$

$$E_{Auger} = 10 \text{ EeV} \leftrightarrow E_{TA} = 19.5 \text{ EeV}$$

$$E_{Auger} = 16 \text{ EeV} \leftrightarrow E_{TA} = 40.5 \text{ EeV}$$

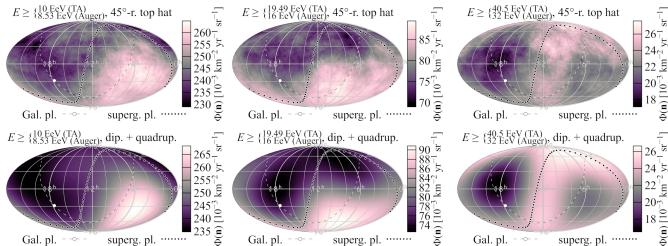
- **Note:** different datasets than used by the spectrum WG; results *not* to be extrapolated to lower energies

# Large-scale anisotropy results ([Auger & TA collabs. UHECR 2022](#))

Less significant than Auger-only ones ([Auger collab. ICRC 2021](#)) due to higher energy threshold

**Table 1.** Our measurements of the dipole and quadrupole moments. The first uncertainty is statistical, the second is due to the uncertainty in the cross-calibration of energy scales. Values in *italics (bold)* are locally significant at  $\geq 2\sigma$  ( $\geq 4\sigma$ ).

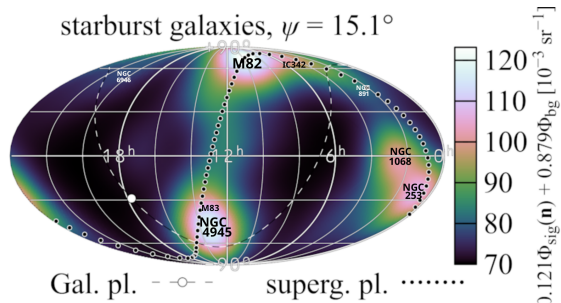
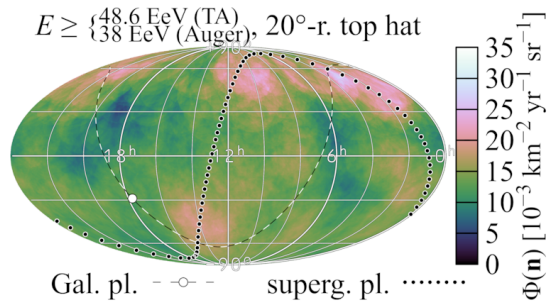
$E_{\text{Auger}}$ [EeV]	[8.53, 16)	[16, 32)	[32, $+\infty$ )
$E_{\text{TA}}$ [EeV]	[10, 19.49)	[19.49, 40.5)	[40.5, $+\infty$ )
$d_x$ [%]	$-0.2 \pm 1.1 \pm 0.0$	$+0.9 \pm 1.9 \pm 0.0$	$-4.4 \pm 3.7 \pm 0.1$
$d_y$ [%]	<b><math>+5.0 \pm 1.1 \pm 0.0</math></b>	$+4.4 \pm 1.9 \pm 0.0$	$+10.0 \pm 3.5 \pm 0.0$
$d_z$ [%]	$-3.0 \pm 1.3 \pm 1.2$	$-8.4 \pm 2.2 \pm 1.3$	$+3.3 \pm 4.4 \pm 3.5$
$Q_{xx} - Q_{yy}$ [%]	$-4.3 \pm 4.6 \pm 0.0$	$+12.9 \pm 8.1 \pm 0.0$	$+39.7 \pm 15.0 \pm 0.0$
$Q_{xz}$ [%]	$-2.7 \pm 2.7 \pm 0.0$	$+4.1 \pm 4.7 \pm 0.0$	$+4.9 \pm 9.7 \pm 0.1$
$Q_{yz}$ [%]	$-4.3 \pm 2.7 \pm 0.0$	$-8.3 \pm 4.6 \pm 0.1$	$+12.8 \pm 9.1 \pm 0.3$
$Q_{zz}$ [%]	$+0.5 \pm 3.1 \pm 1.5$	$+4.5 \pm 5.4 \pm 1.5$	$+22.0 \pm 10.3 \pm 4.1$
$Q_{xy}$ [%]	$+1.3 \pm 2.3 \pm 0.0$	$-0.6 \pm 4.0 \pm 0.1$	$+4.0 \pm 7.8 \pm 0.1$



**Figure 2.** The observed flux in the three energy bins used for the large-scale anisotropies (top panels), and the reconstructed directional flux truncated to  $\ell \leq 2$  (bottom panels).

# Medium-scale anisotropy results (Auger & TA collabs. UHECR 2022)

- UHECR propagation effects neglected for the time being
- Correlation with **galaxies** at distances  $1 \text{ Mpc} \leq D < 250 \text{ Mpc}$  (Skrutskie et al. 2006) significant at  $2.8\sigma$  post-trial
- Correlation with **starburst** galaxies at  $1 \text{ Mpc} \leq D < 130 \text{ Mpc}$  (Lunardini et al. 2019) significant at  $4.7\sigma$  post-trial



# Future prospects (“Soon” = [ICRC 2023](#); “eventually” = my personal wishes)

## Energy spectrum

**Soon:** Continued investigation into energy-dependent discrepancy

**Eventually:** Convergence to common FY and  $E_{\text{inv}}$  • Bijective  $E_{\text{TA}} \leftrightarrow E_{\text{Auger}}$  mapping with goodness of fit and uncertainties (à la anisotropy WG)

## Arrival directions

**Soon:** simulations to estimate the effect of neglected UHECR propagation effects

**Eventually:** more studies (harmonic-space cross-correlation power spectrum, two- and three-point autocorrelation function, ...)

## Mass composition

**Soon:** Continued study of compatibility between Auger and TA  $X_{\text{max}}$  distribution, and investigation into any differences

**Eventually:** Only using modern hadronic interaction models (EPOS-LHC, Sibyll 2.3d) in Auger-only, TA-only, and Auger+TA

- Comparisons of northern vs equatorial vs southern sky (à la spectrum WG)
- Systematics as nuisance parameters
- Use of SD-based mass estimators (using machine learning, upgraded detectors, ...) in Auger+TA

The image features a classic target graphic with concentric circles. The outer rings are a deep red, while the inner rings transition to a lighter red and finally to a dark blue center. The text "That's all Folks!" is written in a white, elegant script font, slanted diagonally across the center of the target.

*That's all Folks!*