

Dark Recipe for the First Giants

From Population III Stars to Early Supermassive Black Holes via Dark Matter Capture

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Based on [arXiv:2512.23789](https://arxiv.org/abs/2512.23789) done in collaboration with
Sulagna Bhattacharya, Prof. Basudeb Dasgupta, Jaya Doliya, Prof. Ranjan Laha

Identification of Dark Matter (IDM) 2026
2nd June, 2026

Outline:

- ★ What are Supermassive black holes (SMBHs)?
- ★ How are SMBHs detected?
- ★ Astrophysical seed formation mechanisms
- ★ Black hole seed via dark matter (DM) capture
(via beyond standard model interactions)
- ★ SMBH mass function, galaxy stellar mass
- ★ Gravitational wave signatures
- ★ Conclusion

What are Supermassive Black Holes (SMBHs):

- SMBHs are black holes with masses $\geq 10^6 M_{\odot}$
- Our Milky Way SMBH is Sagittarius A* (Sgr A*) ($z = 0$)
- Mass of Sgr A* is $4.297 \times 10^6 M_{\odot} \sim 10^{-6} M_{\text{MW}}$

What are Supermassive Black Holes (SMBHs):

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Telescopes: For high redshifts: ($z \gtrsim 5$)

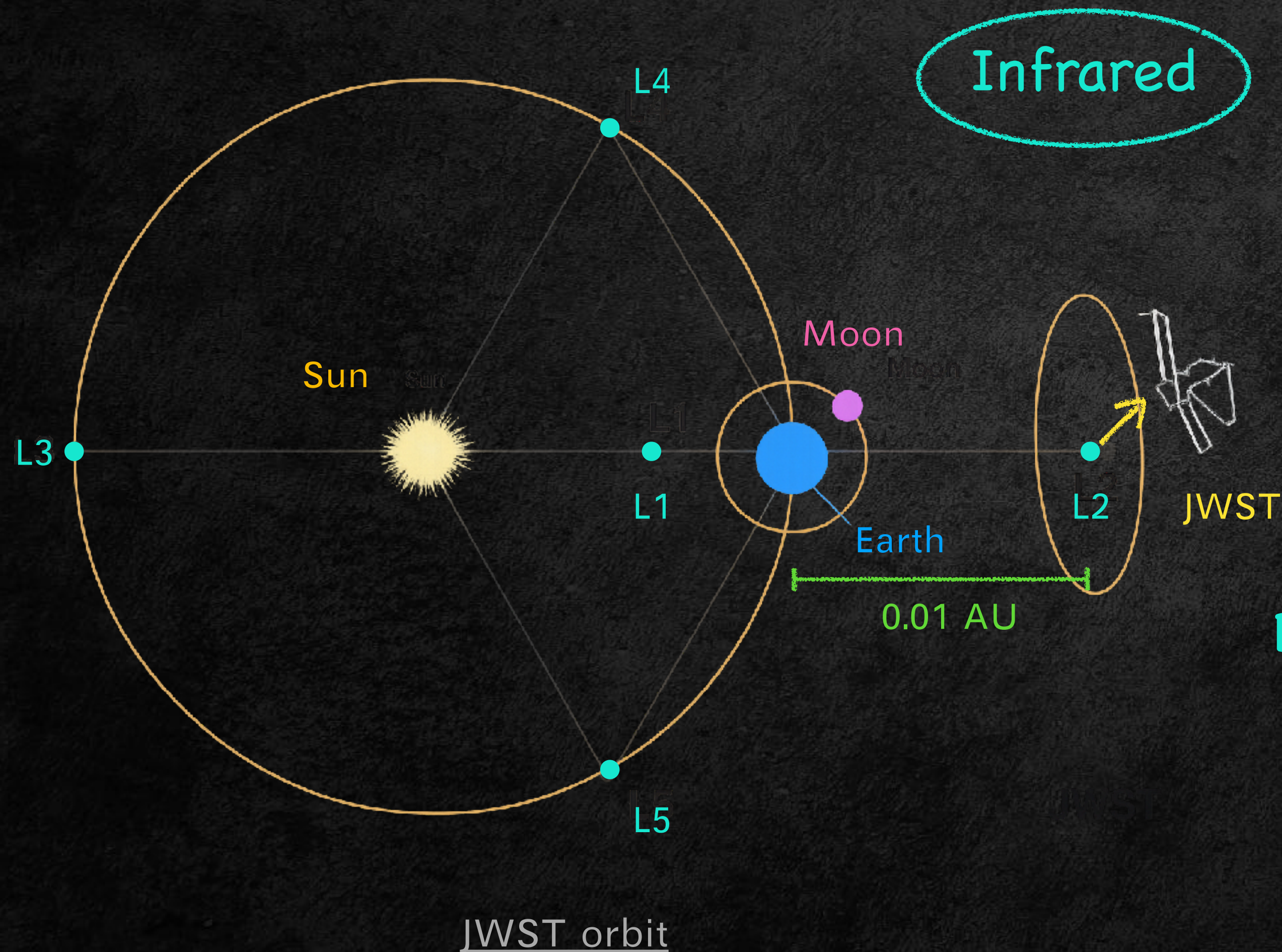
- Sloan Digital Sky Survey Telescope (SDSS) – New Mexico, USA
Imaging: 300 – 1000 nm, Spectroscopy: 380 – 920 nm
- Subaru 8.2m Telescope – Mauna Kea, Hawaii, USA
Imaging: 400 nm – 1000 nm, Spectroscopy: 370 nm – 1000 nm, 1 – 2.5 μm
- Very Large Telescope (VLT) – Mauna Kea, Hawaii, USA
Imaging: 330 – 1100 nm, Spectroscopy: 0.3 – 2.5 μm , and many more..



Ground
based

Telescopes: For high redshifts: ($z \gtrsim 5$)

James Webb Space Telescope (Space based)



Infrared

$$\lambda_{\text{obs}} = \lambda_{\text{rest}} (1 + z)$$

for $z \gtrsim 5$

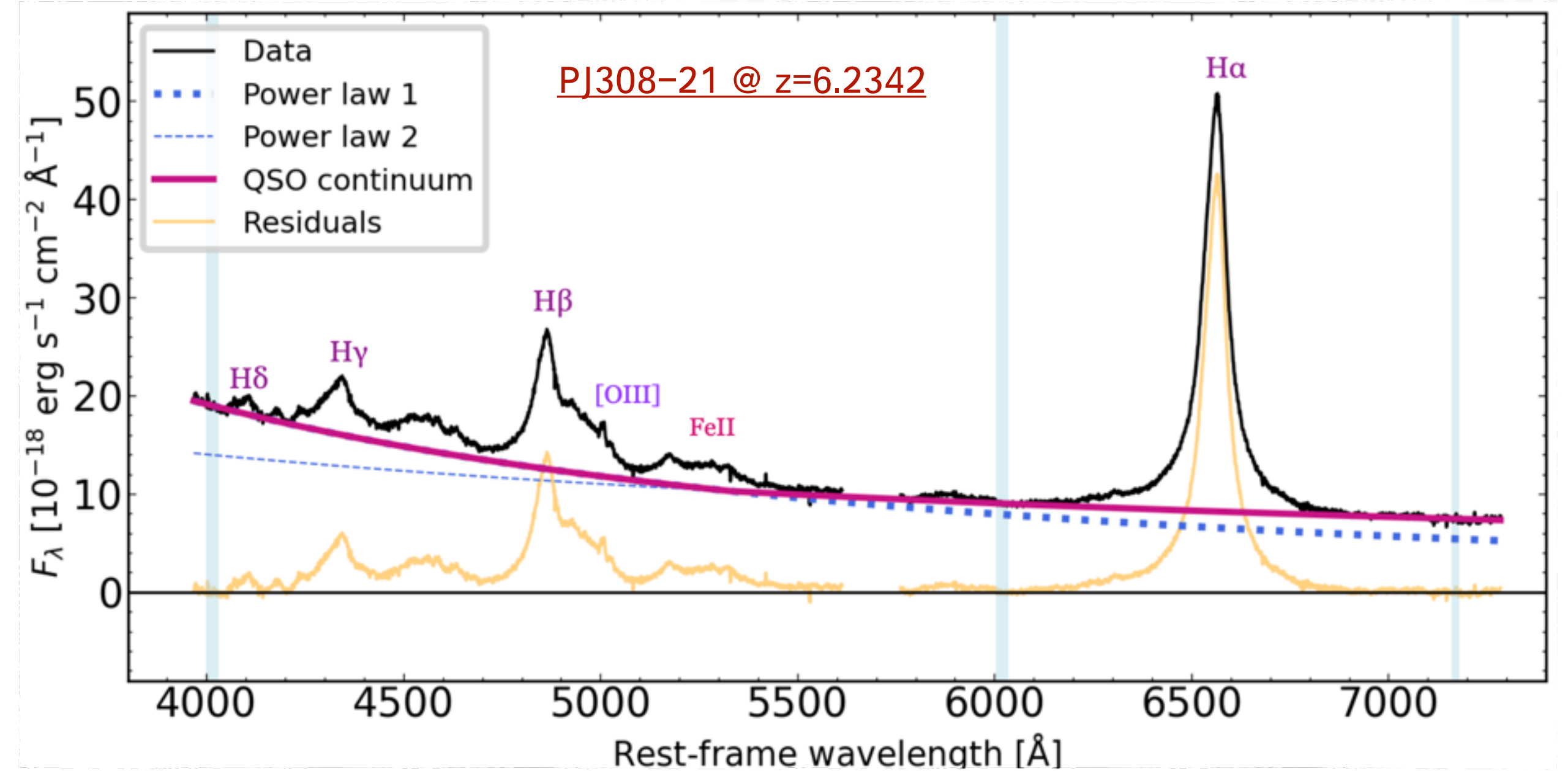
Emission frequency redshifts towards infrared regime!

How are SMBHs detected?

Loiacono et al. – A&A 685, A121 (2024)



There are emission lines in the spectra due to recombination and excitation



- Precise redshift measurement:

After determining λ_{obs} for transition lines like $\text{H}\alpha$, $\text{H}\beta$, Mg II , O III , etc.



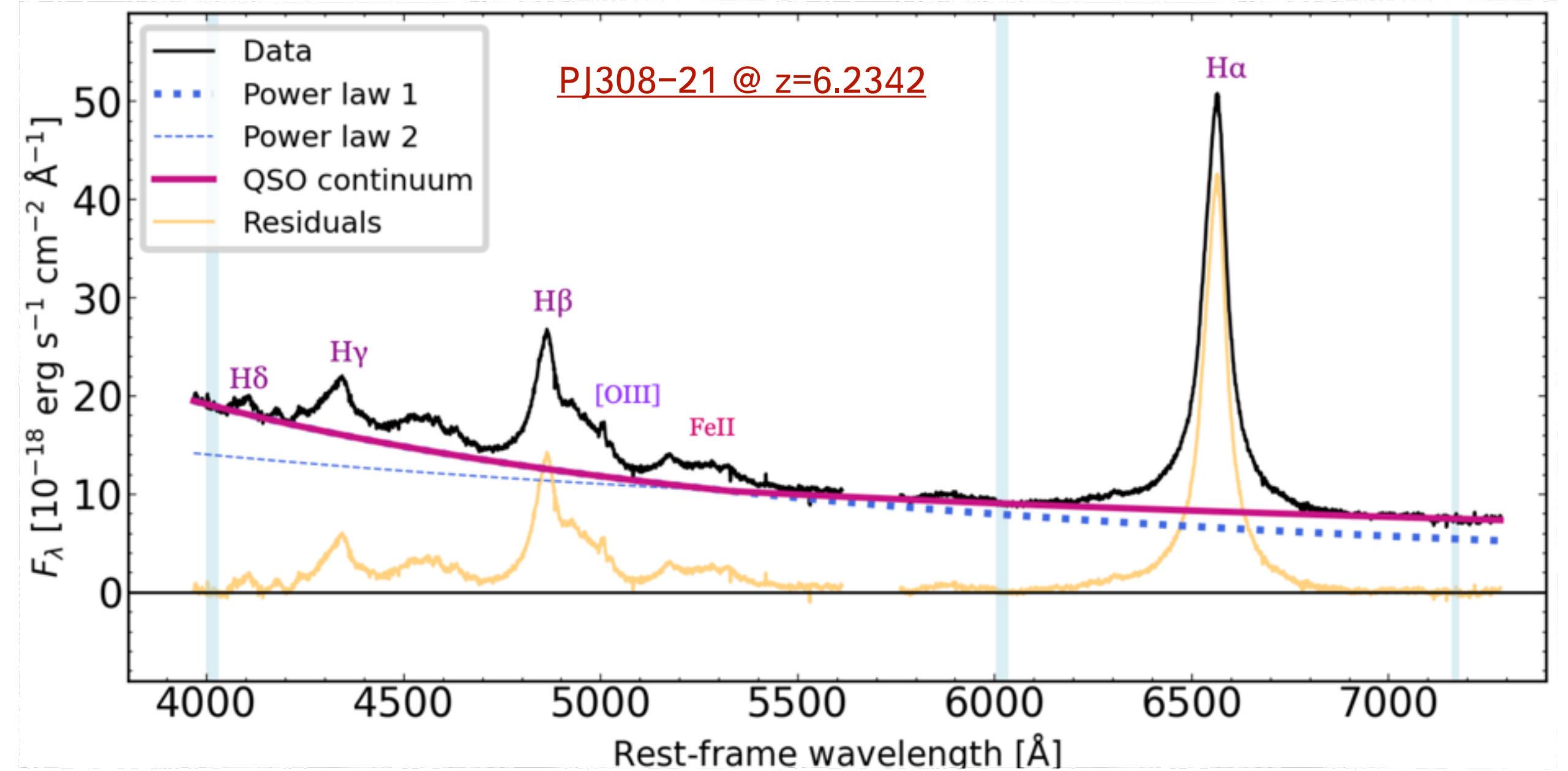
$$z = \frac{\lambda_{\text{obs}}}{\lambda_{\text{rest}}} - 1$$

How are SMBHs detected?

Loiacono et al. – A&A 685, A121 (2024)



There are emission lines in the spectra due to recombination and excitation



- Black hole mass estimate:

After determining $\Delta\lambda_{\text{obs}}$ for
transition lines like $\text{H}\alpha$, $\text{H}\beta$, Mg II , O III , etc.

$$M_{\text{BH}} \propto (\Delta\nu)^2 \propto \left(\frac{\Delta\lambda}{\lambda_{\text{rest}}} \right)^2$$

Detected SMBHs at high redshifts:

Pre-JWST

(SDSS, Subaru, etc.)

The Assembly of the First Massive Black Holes

Kohei Inayoshi,¹ Eli Visbal,² and Zoltán Haiman³

JWST

THE ASTROPHYSICAL JOURNAL LETTERS

OPEN ACCESS

Hidden Little Monsters: Spectroscopic Identification of Faint Active Galactic Nuclei at $z > 5$ with CEERS

Dale D. Kocevski, Masafusa Otae, Kohei Inayoshi, Jonathan R. Trump, Paolo D. Otae, Mark Dickinson

THE ASTROPHYSICAL JOURNAL

OPEN ACCESS

Little Red Dots: An Abundant Population of Faint Active Galactic Nuclei at $z \sim 5$ Revealed by the EIGER and FRESCO JWST Surveys

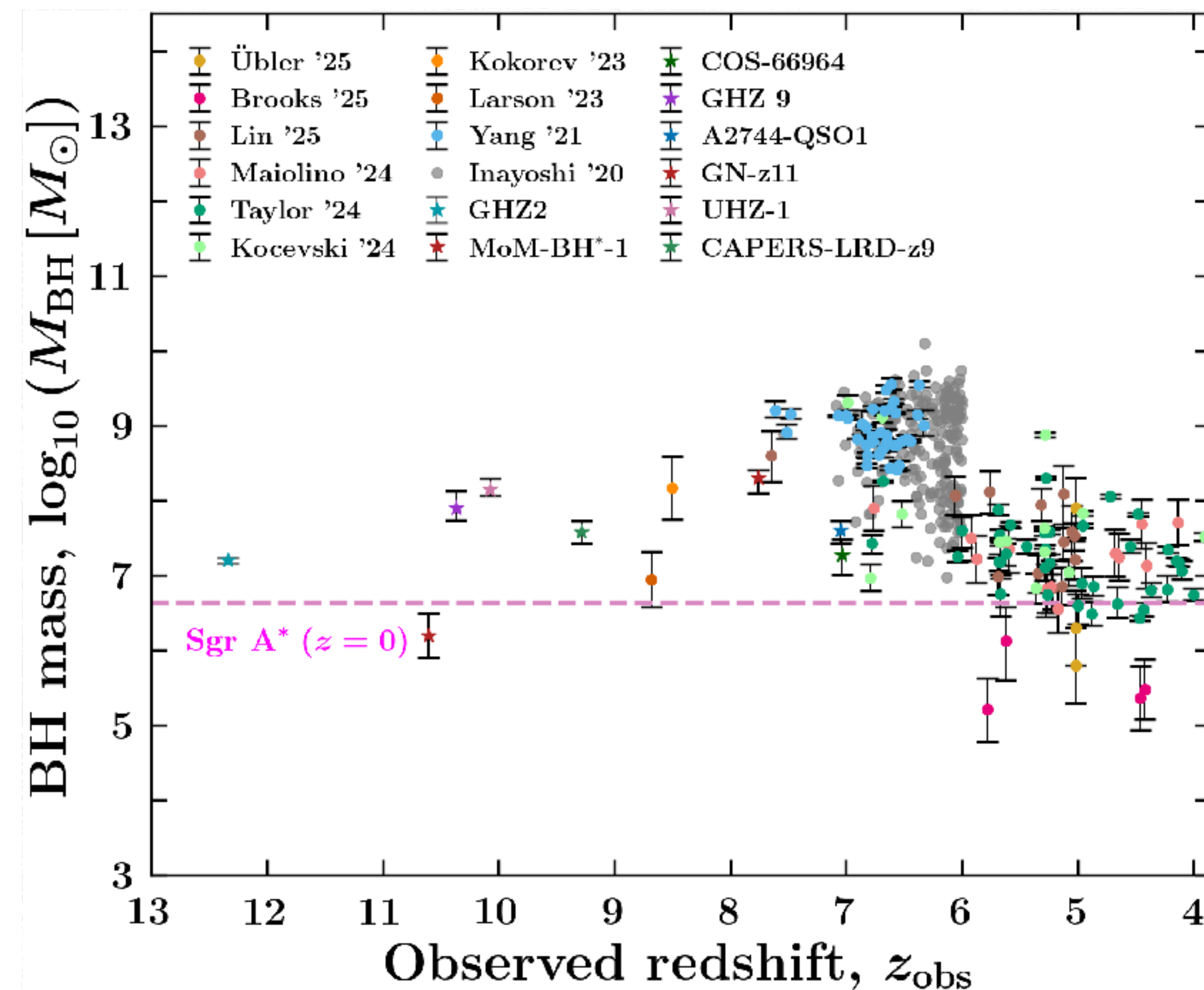
Jorrit Matthee, Rohan P. Naidu, Gabriel Brammer, John Chisholm, Anna-Christina Eilers, Andy Goulding, Jenny Greene, Daichi Kashino, Ivo Labbe, Simon J. Lilly [Show full author list](#)
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[The Astrophysical Journal, Volume 963, Number 2](#)

THE ASTROPHYSICAL JOURNAL

OPEN ACCESS

UNCOVER Spectroscopy Confirms the Surprising Ubiquity of Active Galactic Nuclei in Red Sources at $z > 5$

Jenny E. Greene, Ivo Labbe, Andy D. Goulding, Lukas J. Furtak, Iryna Chemerynska, Vasily Kokorev, Pratika Dayal, Marta Volonteri, Christina C. Williams, Dingjie Wang (王冰洁) [Show full author list](#)
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[The Astrophysical Journal, Volume 964, Number 1](#)



SMBH masses at high redshifts

Astrophysical ways of SMBH formation:

1. Pop III stars: $z_{\text{obs}} \sim 15 - 30$, $M_{\text{seed}} \sim \mathcal{O}(10^{1-2}) M_{\odot}$

Death of first-generation metal-free stars (lifetime $\sim$ Myr.)

2. Nuclear Star cluster: $z_{\text{obs}} \sim 15 - 20$, $M_{\text{seed}} \sim \mathcal{O}(10^{2-3}) M_{\odot}$

Dense stellar clusters at galactic center undergo frequent stellar collisions and mergers

3. Direct Collapse: $z_{\text{obs}} \sim 8 - 17$, $M_{\text{seed}} \sim \mathcal{O}(10^{4-6}) M_{\odot}$

Pristine collapsing gas in early Universe that escapes star-formation

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Many BSM models explore 3rd possibility via photon injection

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We explore the premature death of Pop III stars via dark matter accumulation

2. Nuclear Star cluster: $z_{\text{obs}} \sim 15 - 20$, $M_{\text{seed}} \sim \mathcal{O}(10^{2-3}) M_{\odot}$

Dense stellar clusters at galactic center undergo frequent stellar collisions and mergers

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Pristine collapsing gas in early Universe that escapes star-formation

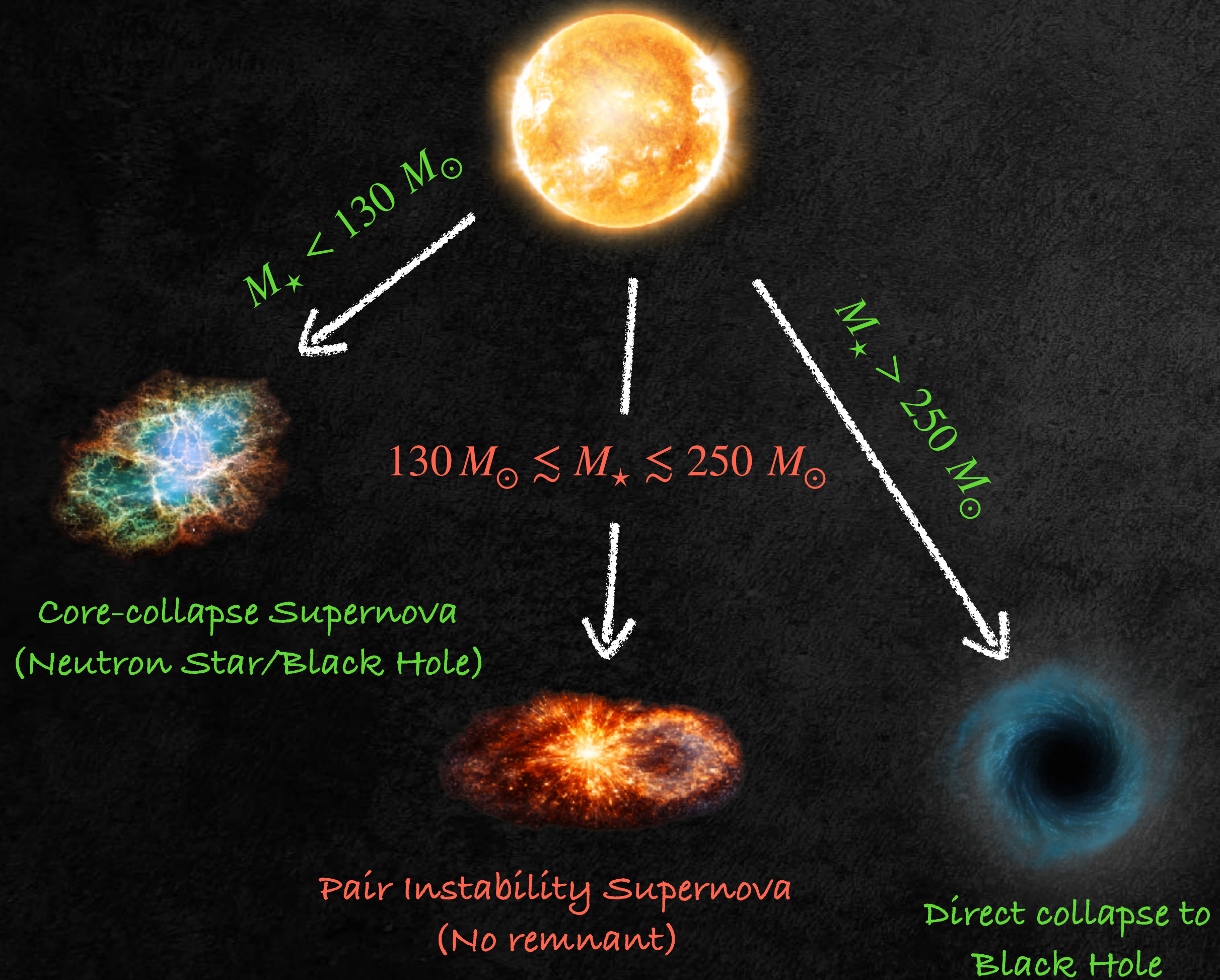
Many BSM models explore 3rd possibility via photon injection

Pop III stars:

First generation stars formed during $z \sim 15 - 30$ (Very small metallicity)

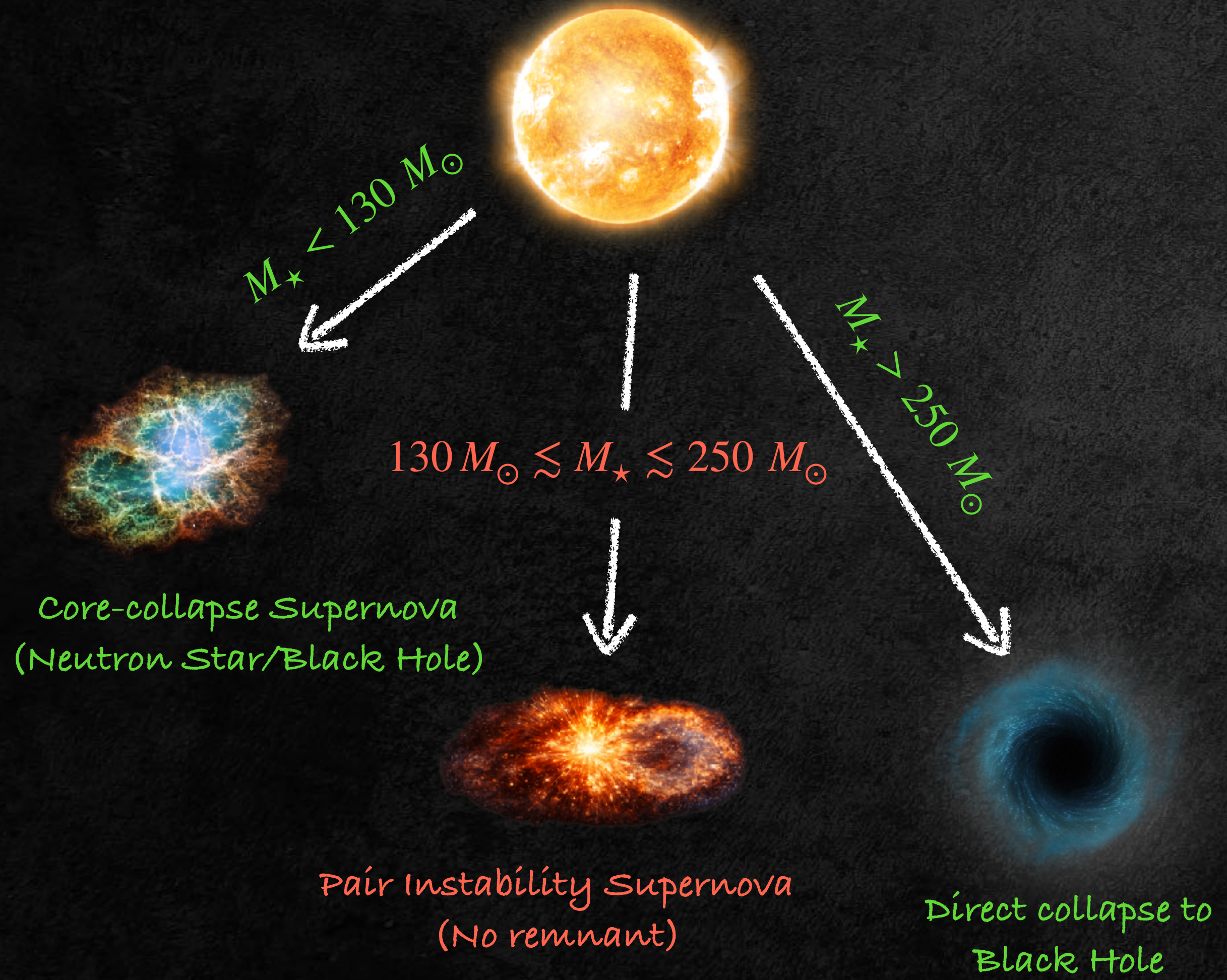
Pop III stars:

Usual Fate

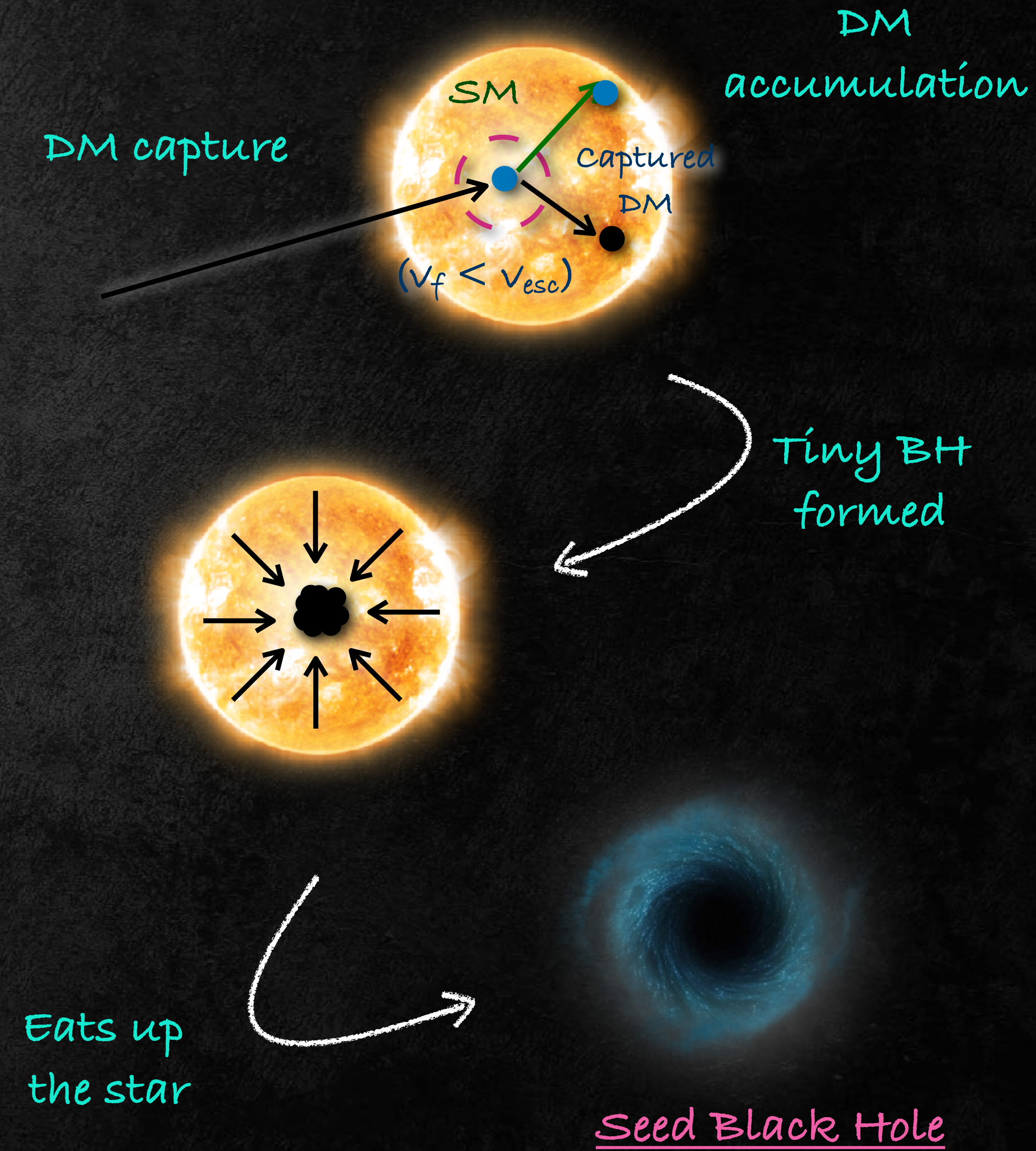


Pop III stars:

Usual Fate



Our Scenario



Pop III stars:

First generation stars formed during $z \sim 15 - 30$ (Very small metallicity)

Fate of stars: (lifetime, $\tau_{\text{age}} \sim \mathcal{O}(1) \text{ Myr}$)

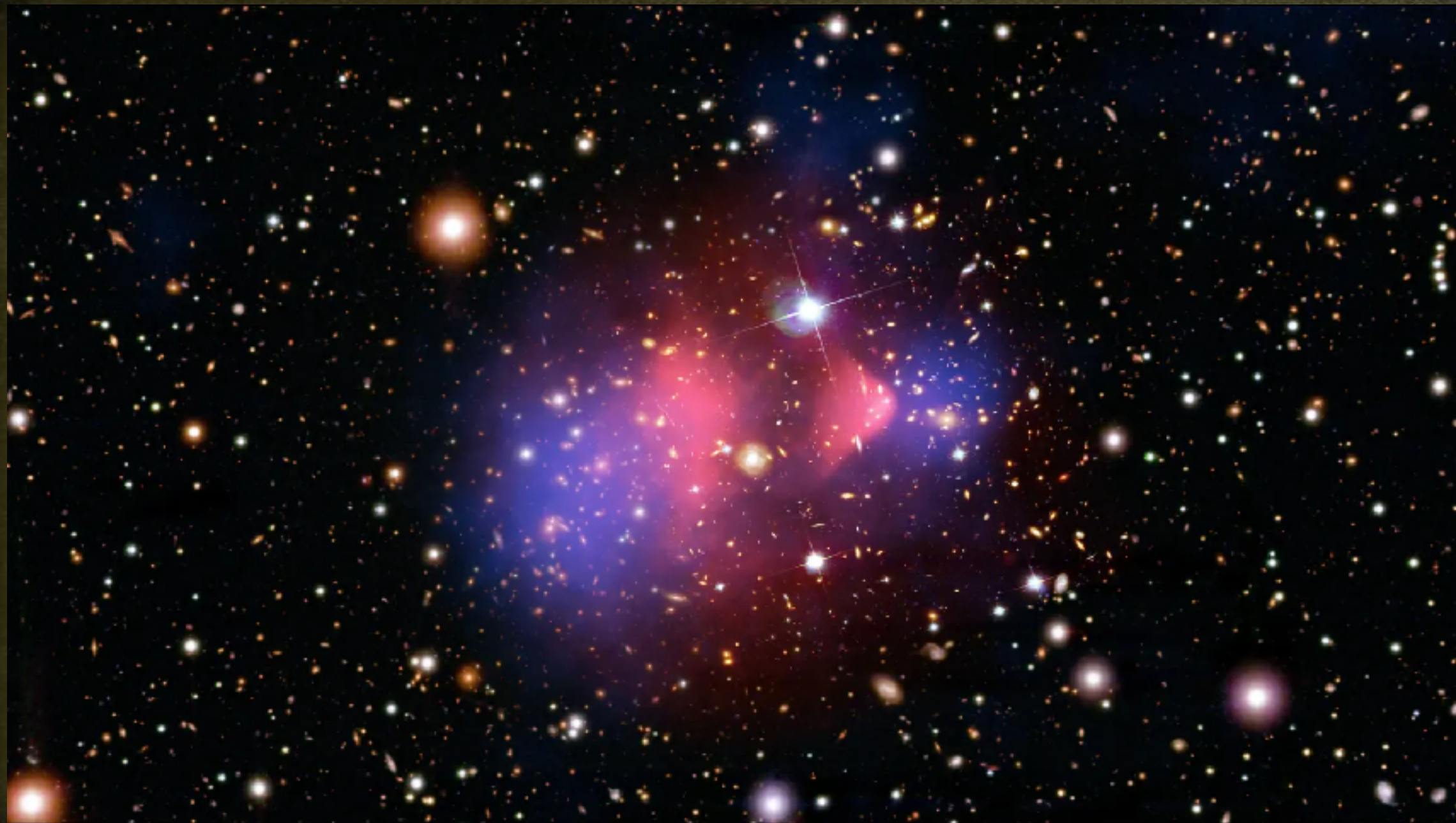
- ★ Type II Supernova (Core-Collapse) $\rightarrow (M_s < 130 M_{\odot})$
- ★ Pair-Instability Supernova (PISN) – no remnant $\rightarrow (130 M_{\odot} \lesssim M_s \lesssim 250 M_{\odot})$
- ★ Direct collapse to black hole $\rightarrow (M_s > 250 M_{\odot})$

Advantage of dark accumulation:

- ★ Premature death before main-sequence end
- ★ All mass Pop-III stars can form black holes

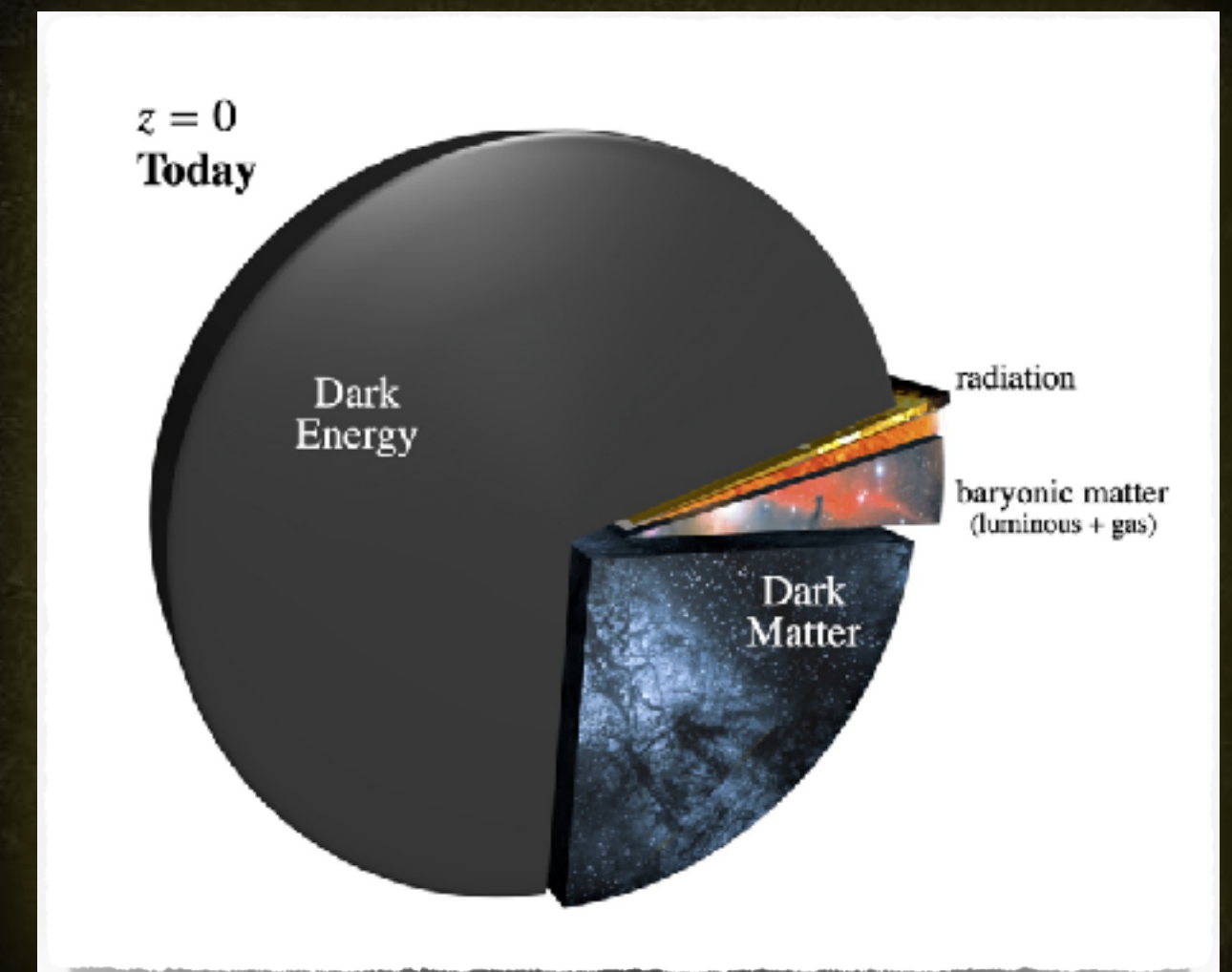
Evidences of Dark Matter:

Bullet Cluster Observation



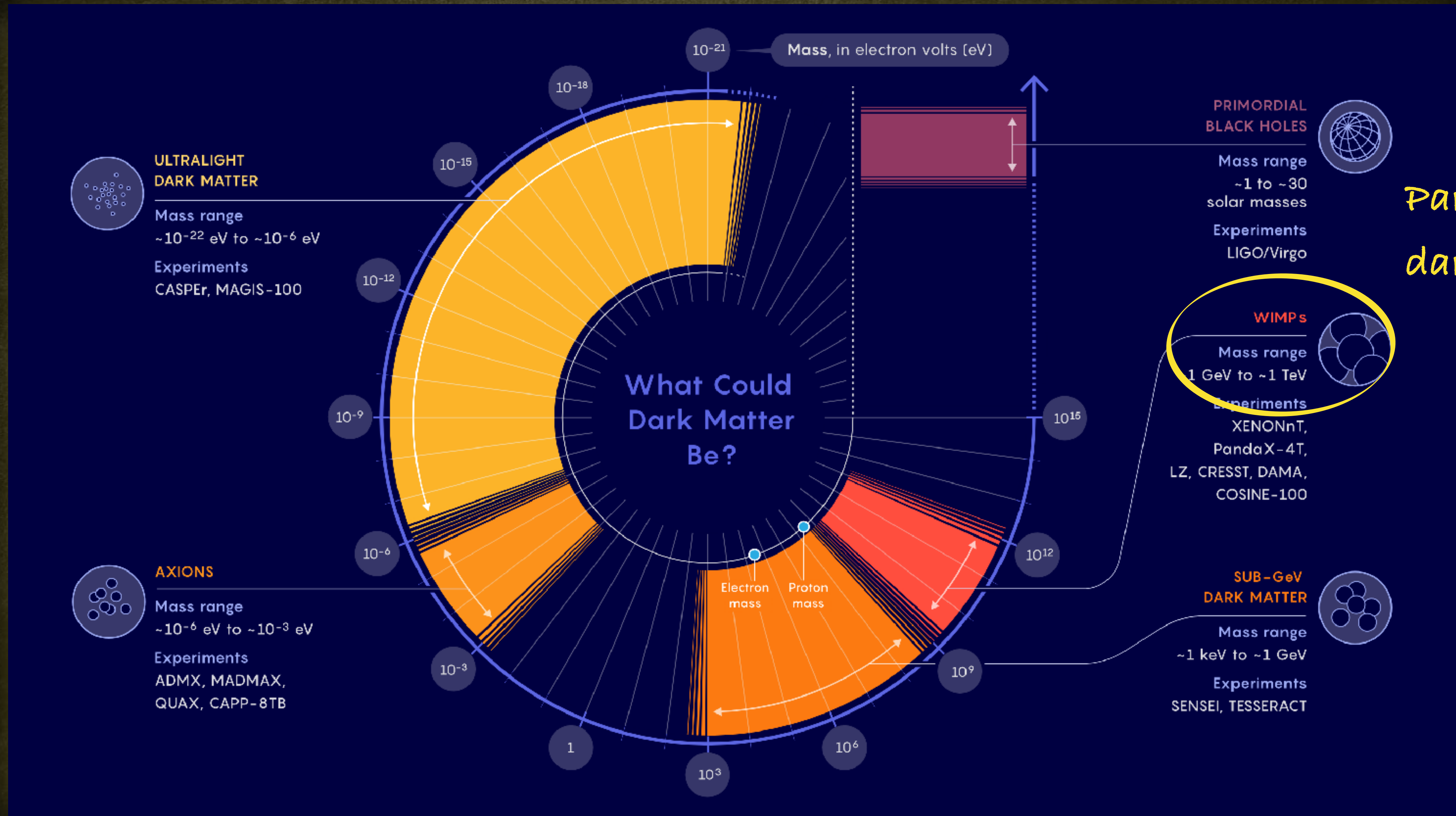
Credit: NASA/CXC/M. Weiss

- Structure formation
- Galaxy rotation curve
- Galaxy cluster dynamics
- Cosmic Microwave Background Radiation



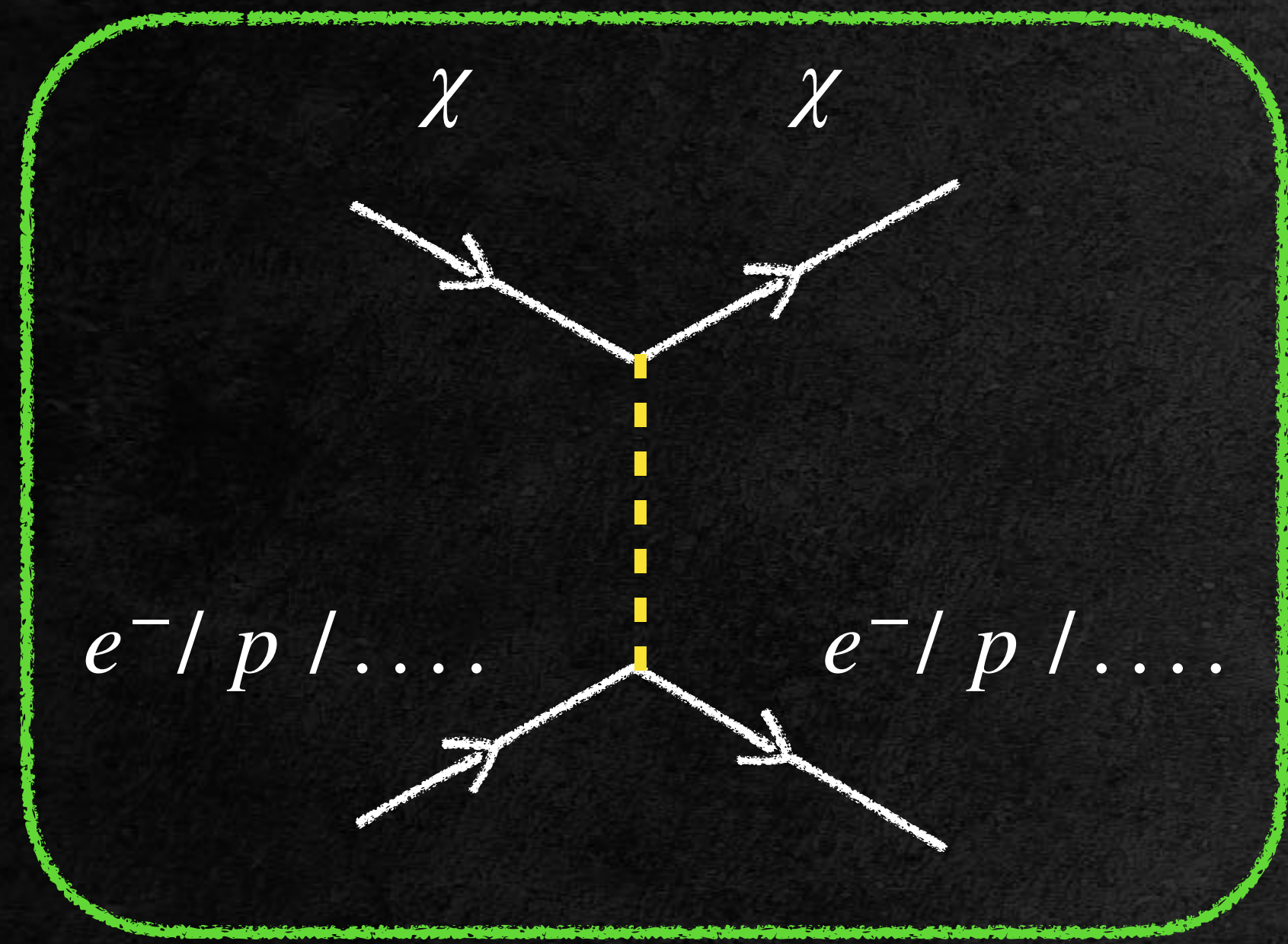
<https://arxiv.org/abs/2406.01705>

Possible candidates:

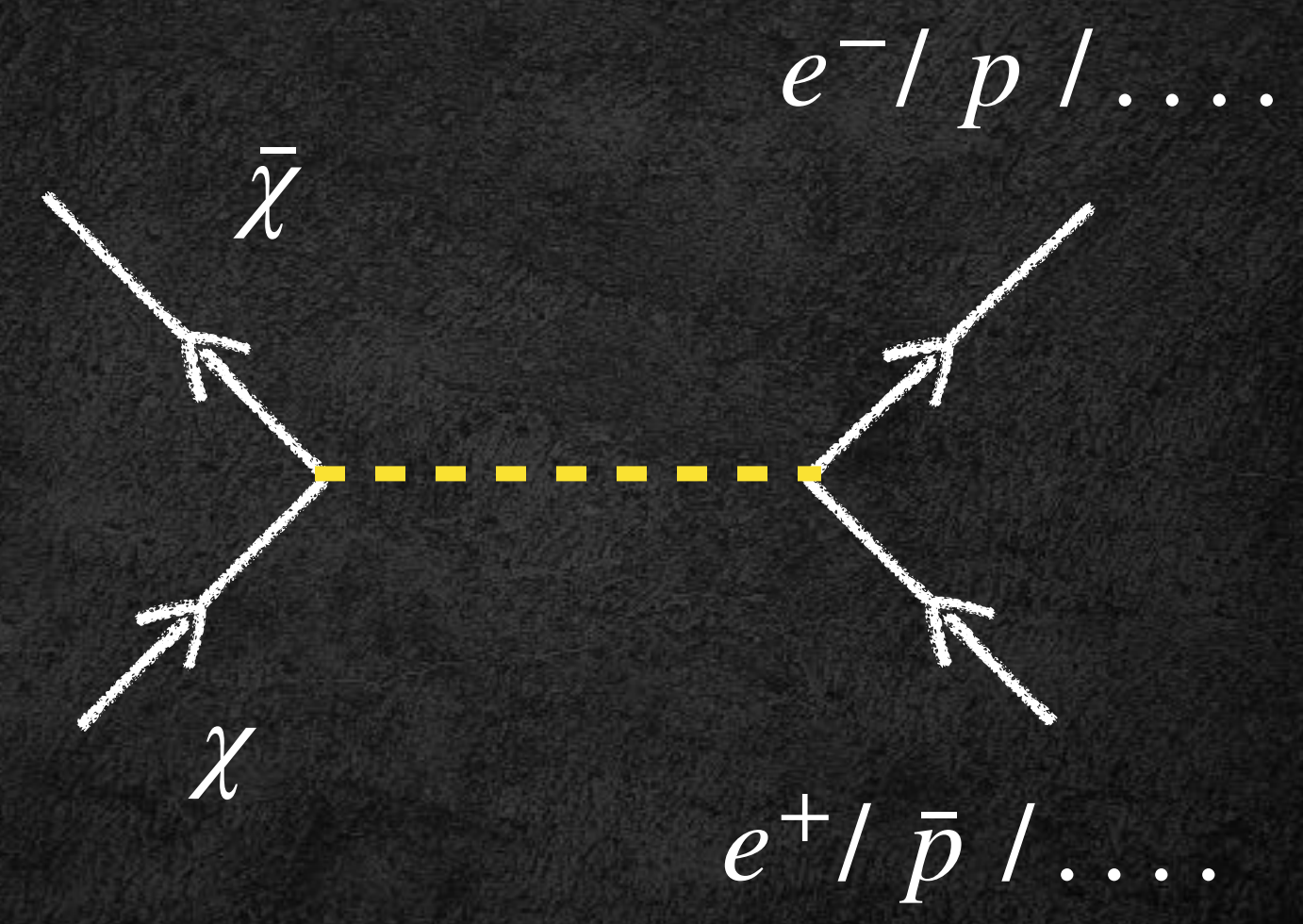


Particle
dark matter

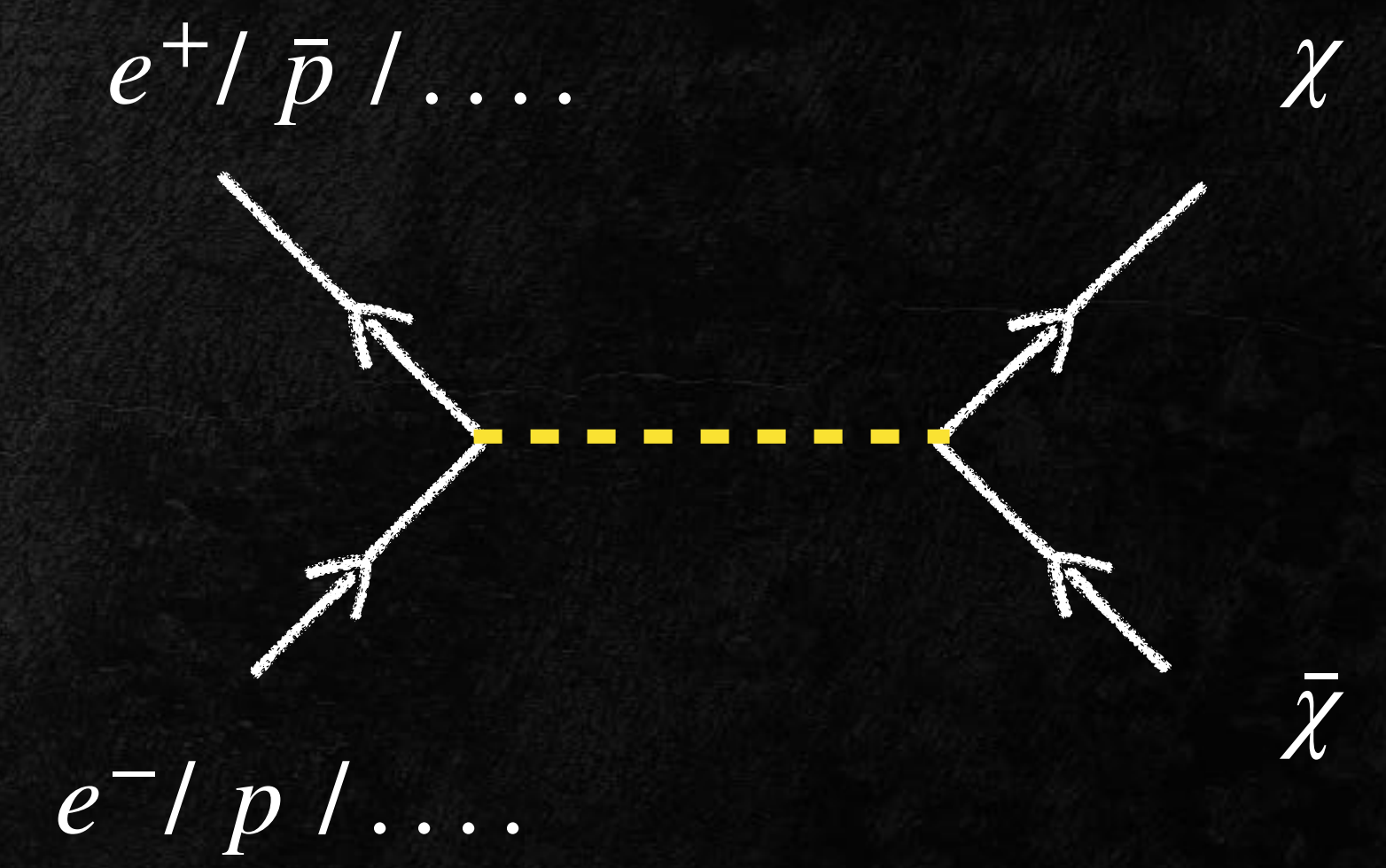
Particle dark matter



Scattering inside stars

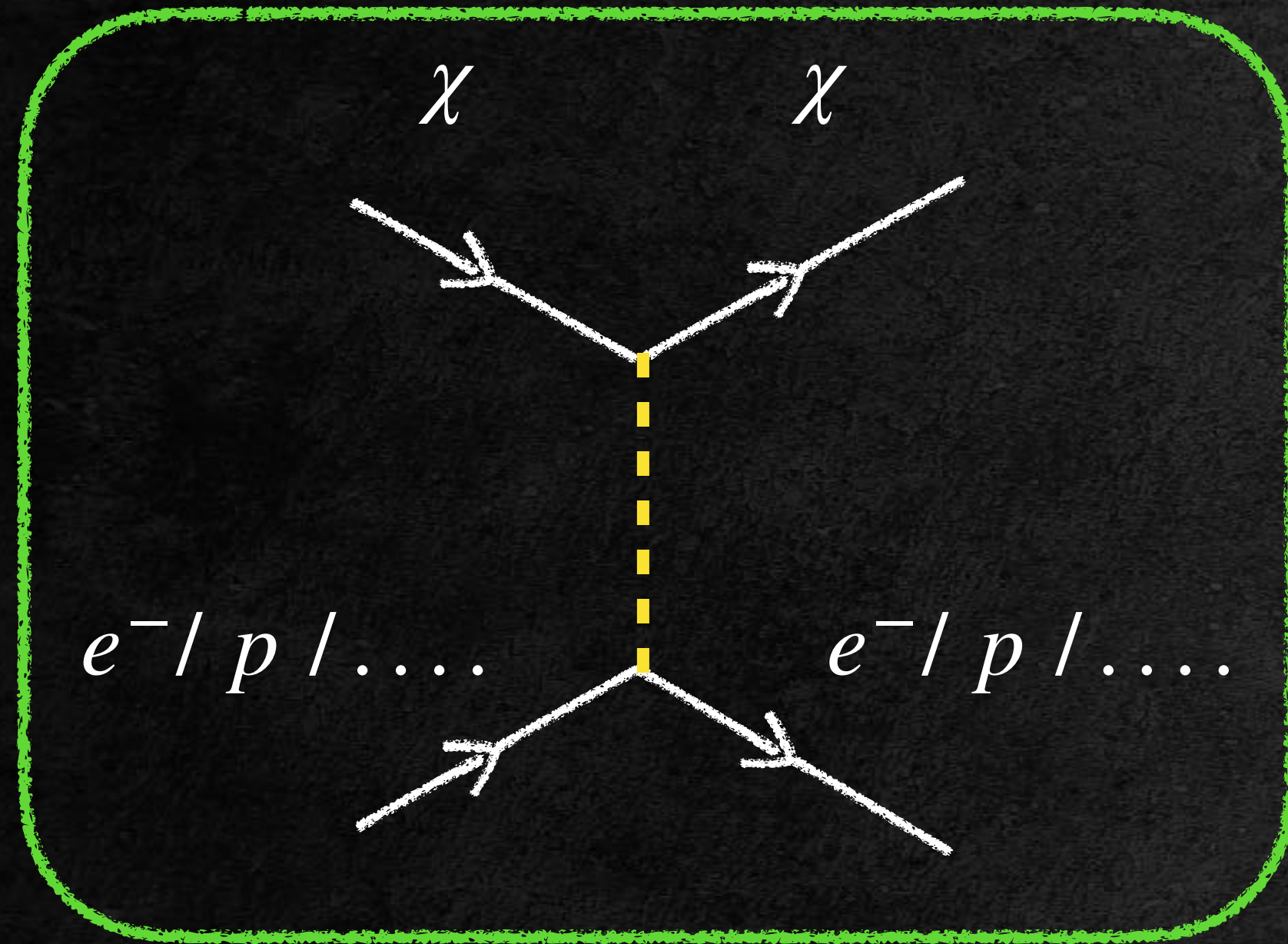


Annihilation
(Indirect Detection)

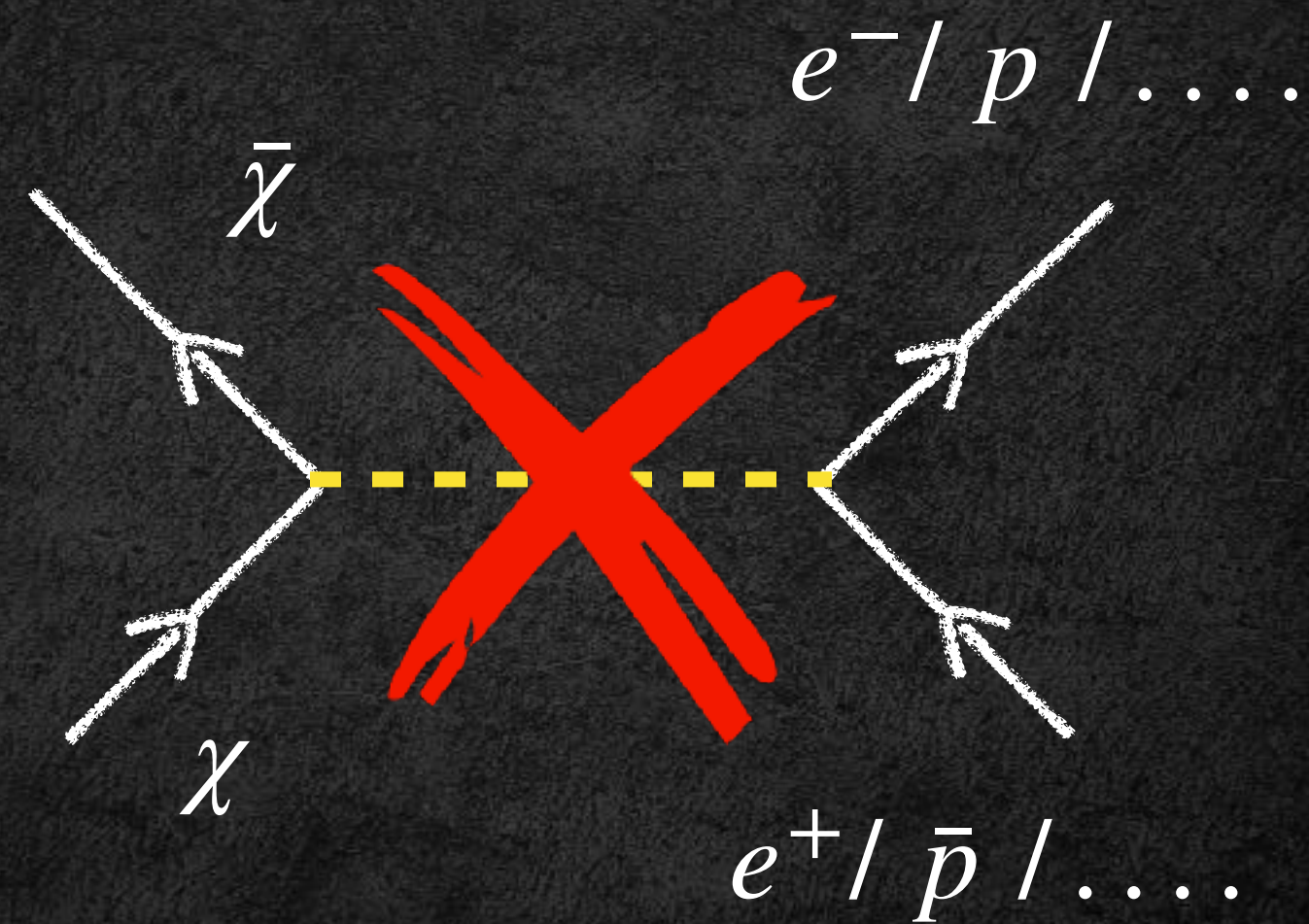


Production
(Collider Searches)

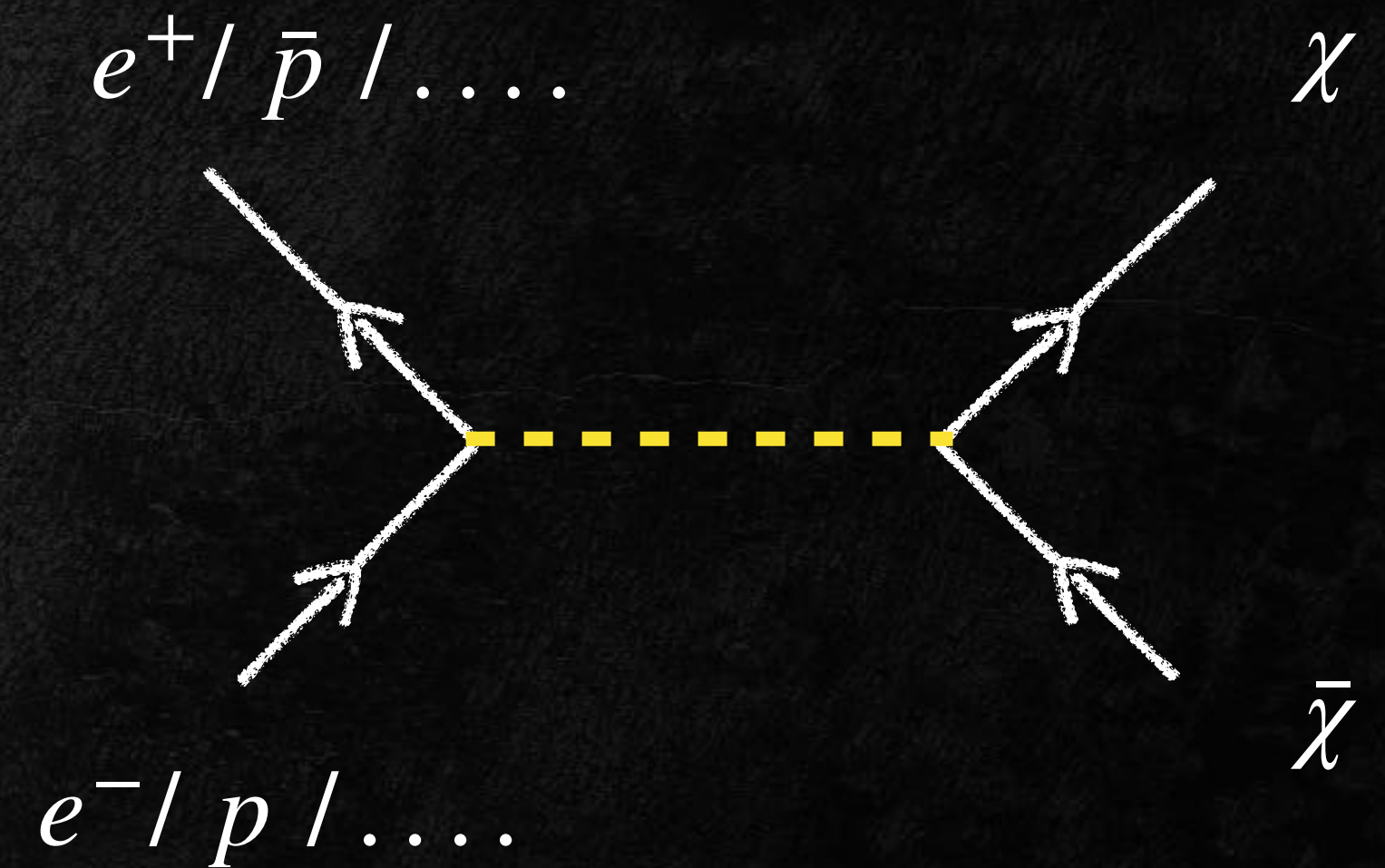
Particle dark matter



Scattering inside stars

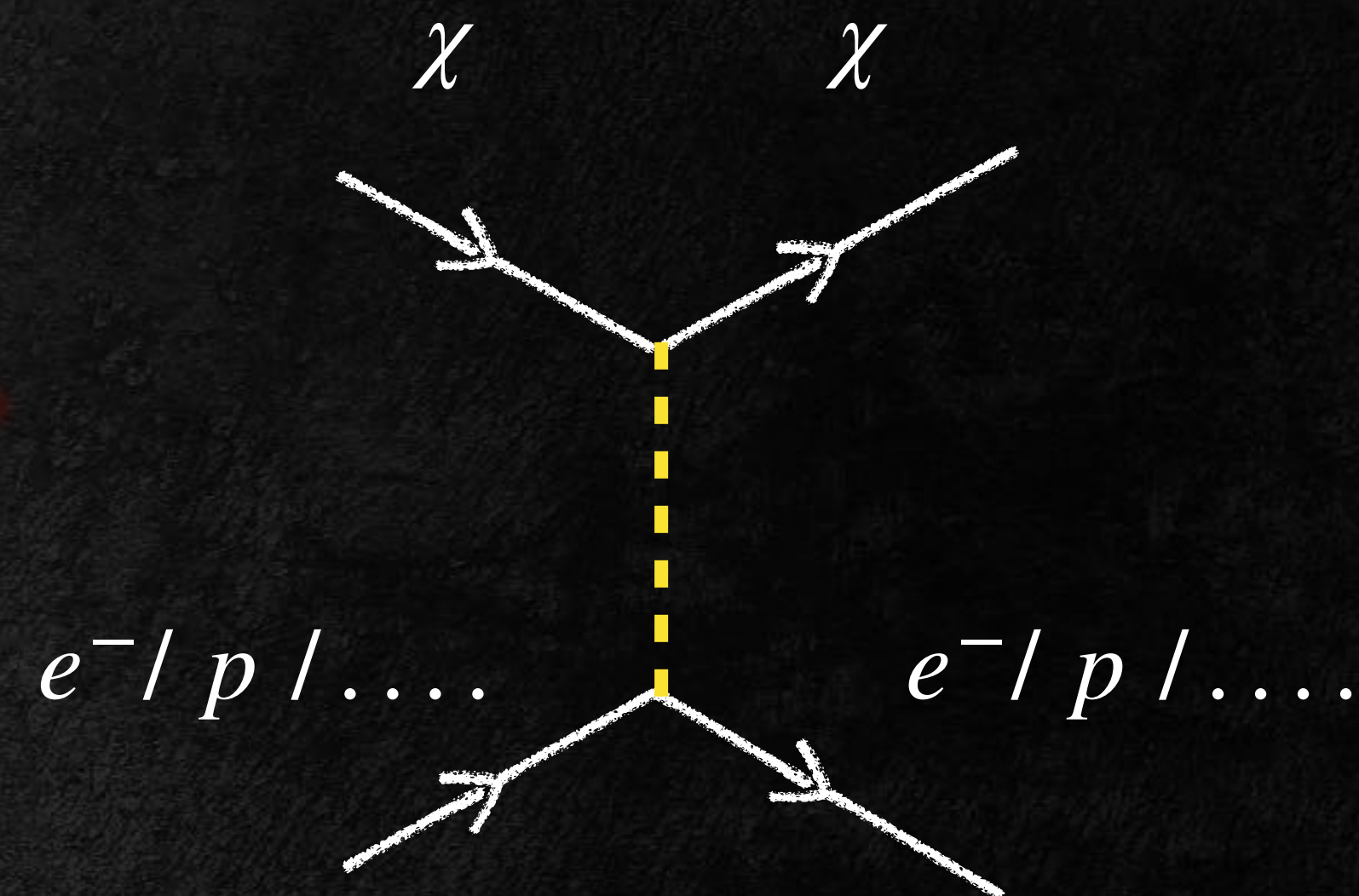
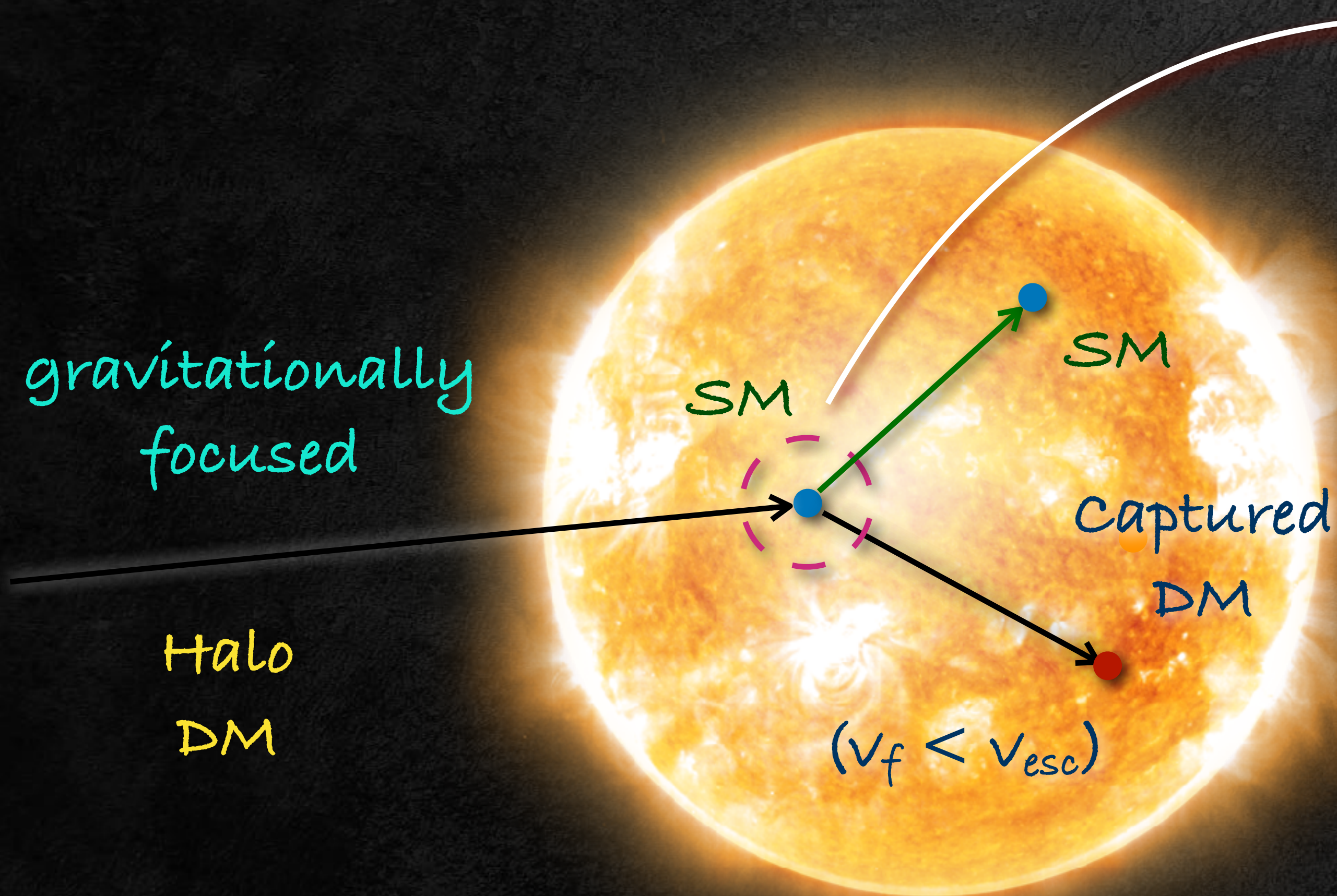


Non-annihilating
dark matter



Production
(Collider searches)

Capture Mechanism:



Outcomes :

- Heating of celestial objects
- Annihilation signatures
- **Black Hole formation**
- Supernova ignition,

Capture Rate:

$$C = \boxed{\pi R_s^2} \left(\frac{\rho_\chi}{m_\chi} \right) \int_0^{u_{esc}} du \frac{f(u)}{u} (u^2 + v_{esc}^2) \boxed{P_{cap}(u)}$$

Area of the object

DM flux

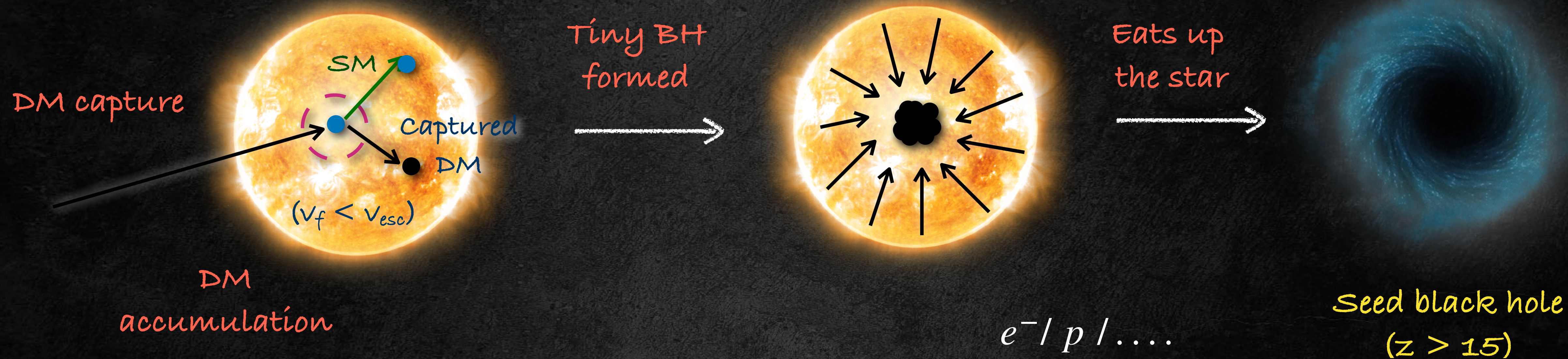
Capture probability

Approximate capture rate ($M_s = 110 M_\odot$, $R_s = 5 R_\odot$)

$$m_\chi C_{\text{approx}} \approx 7.6 \times 10^{-16} \times \left(\frac{\rho_\chi}{10^{11} \text{ GeV/cm}^3} \right) \times \left(\frac{200 \text{ GeV}}{m_\chi} \right) \times \left(\frac{\sigma_{\chi p}^{\text{SD}}}{10^{-42} \text{ cm}^2} \right) M_\odot/\text{s}$$

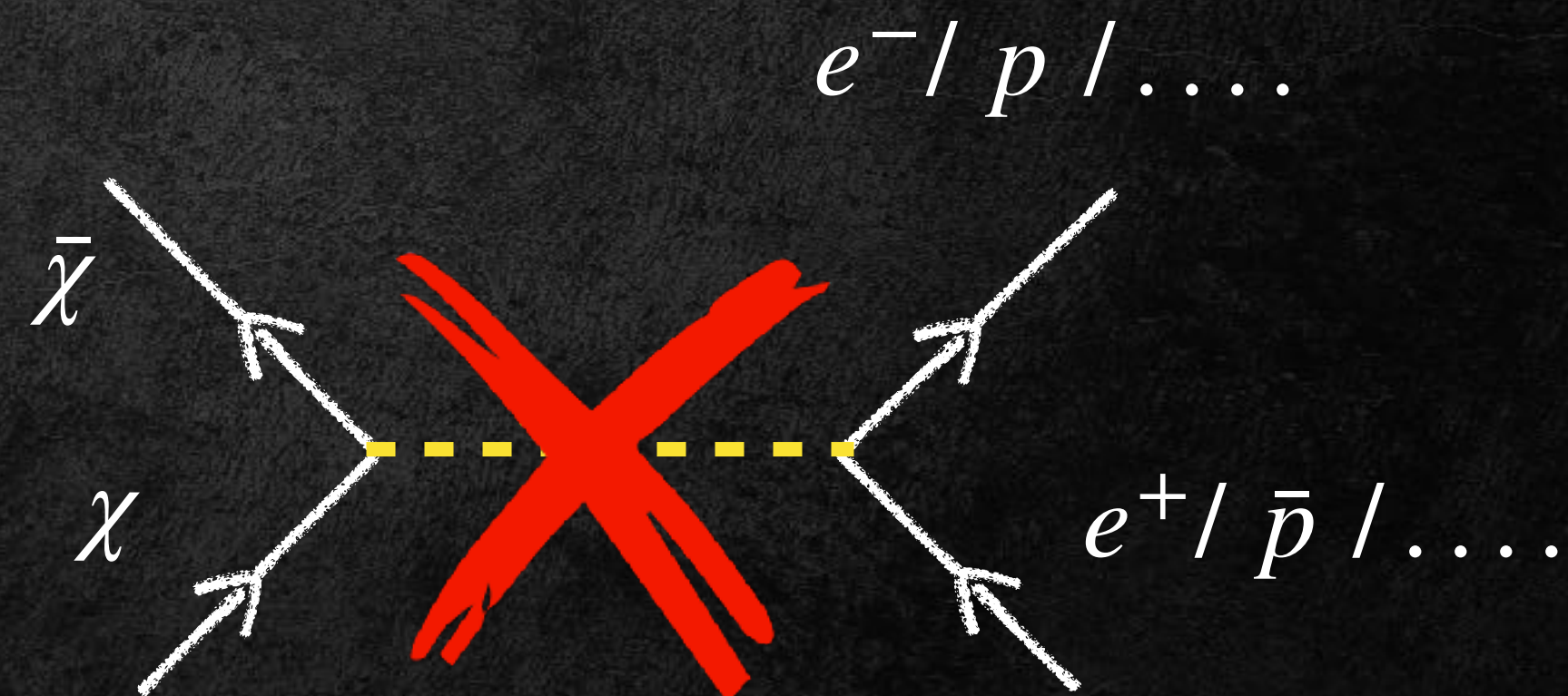
$$M_{\text{captured}} \approx 2.4 \times 10^{-3} M_\odot \text{ (0.1 Myr)}$$

Black hole formation:



BH formation requirement:

- ★ DM needs to be non-annihilating
- ★ Sufficient dark accumulation, i.e.,

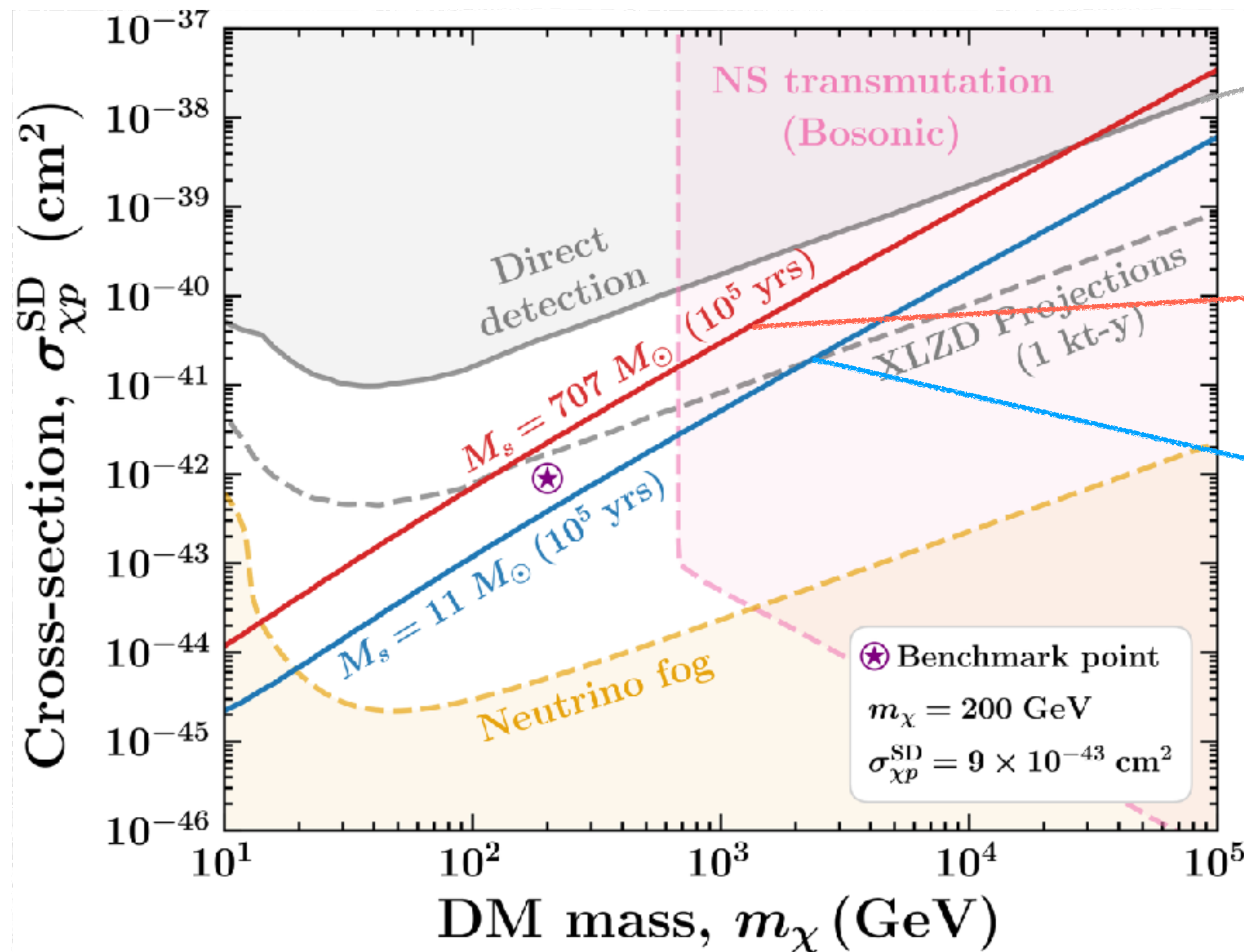


$$m_{\chi} C_{\chi} = \text{Max} \left[M_{\text{Self}}, M_{\text{Quantum}} \right]$$

Seed black hole formation isochrones:

Seed black hole
formation timescale

$$t_{\text{BH-form}} = \tau_{\text{accum}} + \tau_{\text{therm}} + \tau_{\text{col}} + \tau_{\text{star-eating}}$$



Direct detection
(Combo of PICO-60, LUX-ZEPLIN)

High : $M_s = 707 M_\odot$, $R_s = 16.4 R_\odot$

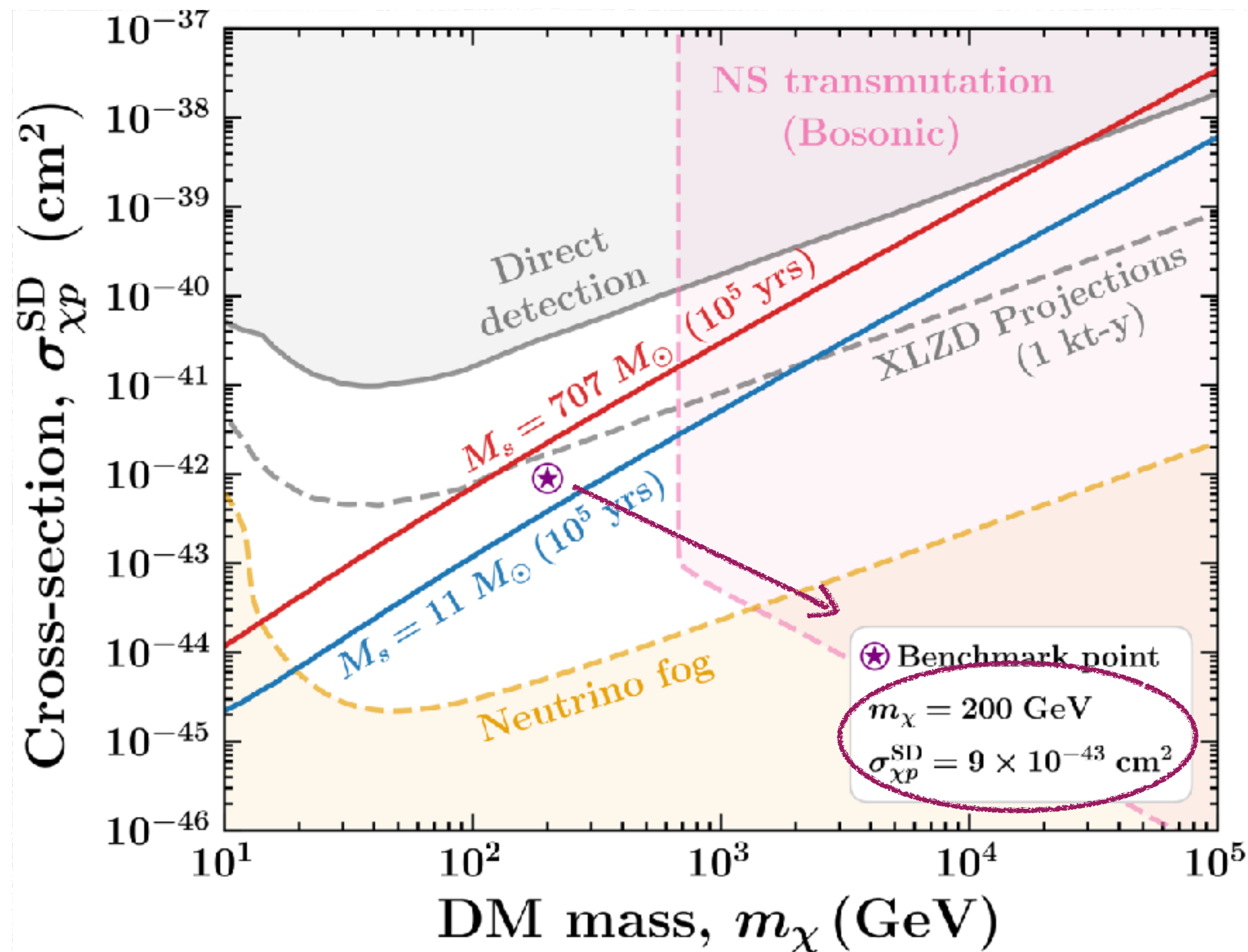
Low : $M_s = 11 M_\odot$, $R_s = 1.5 R_\odot$

Radius, density, temperature are
obtained with MESA code

Seed black hole formation isochrones:

Seed black hole
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$$t_{\text{BH-form}} = \tau_{\text{accum}} + \tau_{\text{therm}} + \tau_{\text{col}} + \tau_{\text{star-eating}}$$



Direct detection
(Combo of PICO-60, LUX-ZEPLIN)

High : $M_s = 707 M_\odot$, $R_s = 16.4 R_\odot$

Low : $M_s = 11 M_\odot$, $R_s = 1.5 R_\odot$

This is the benchmark value we
have taken for further analysis

Seed BH accretion:

~99% of the datapoints can be explained!

Final black hole mass

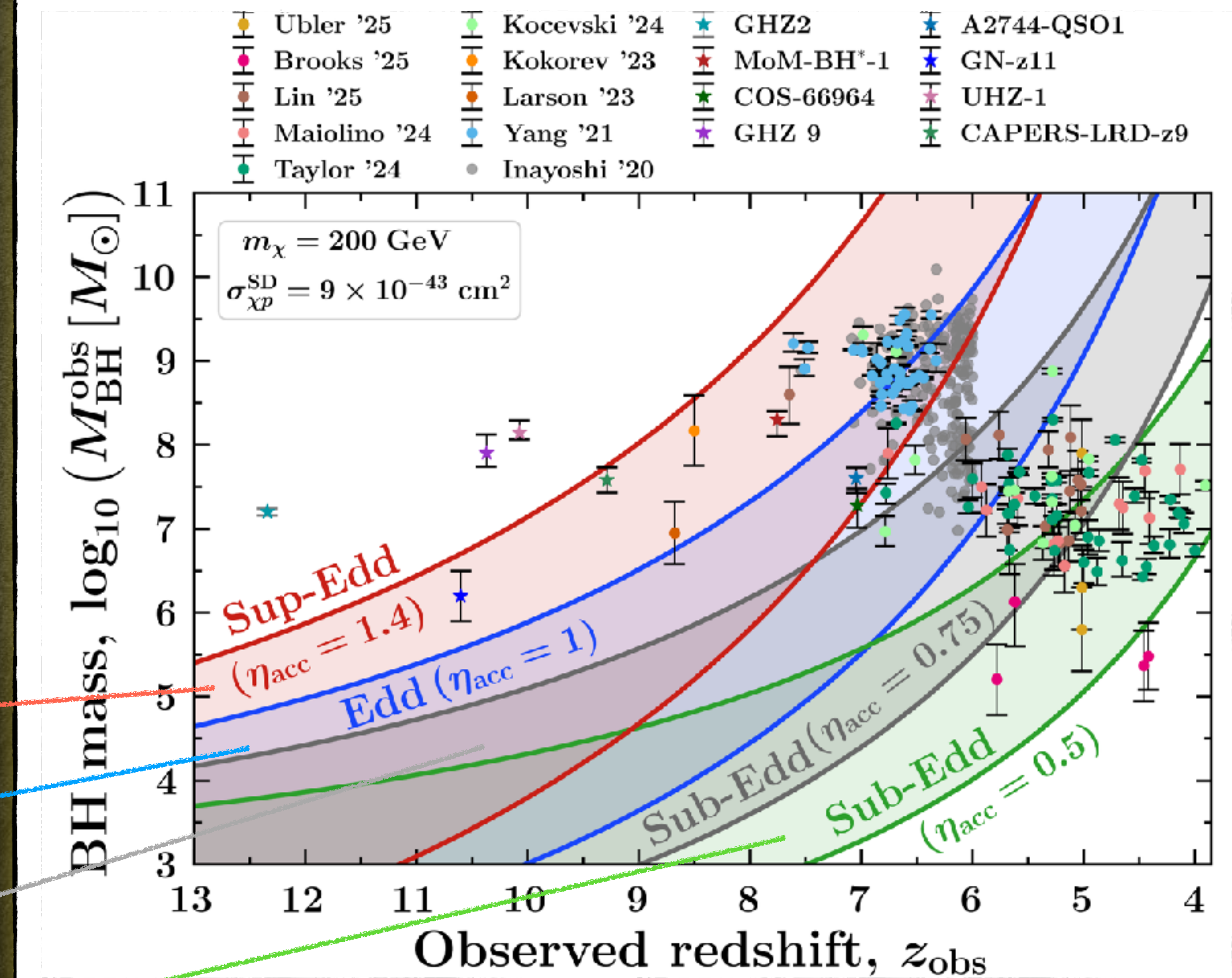
$$M_{\text{BH}} = M_{\text{seed}} \exp\left(\frac{\eta_{\text{Edd}} (1 - \epsilon_r) \Delta t}{t_{\text{Sal}}}\right)$$

Salpter time $\rightarrow t_{\text{Sal}} \approx 45.1 \left(\frac{\epsilon_r}{0.1}\right) \text{ Myr}$

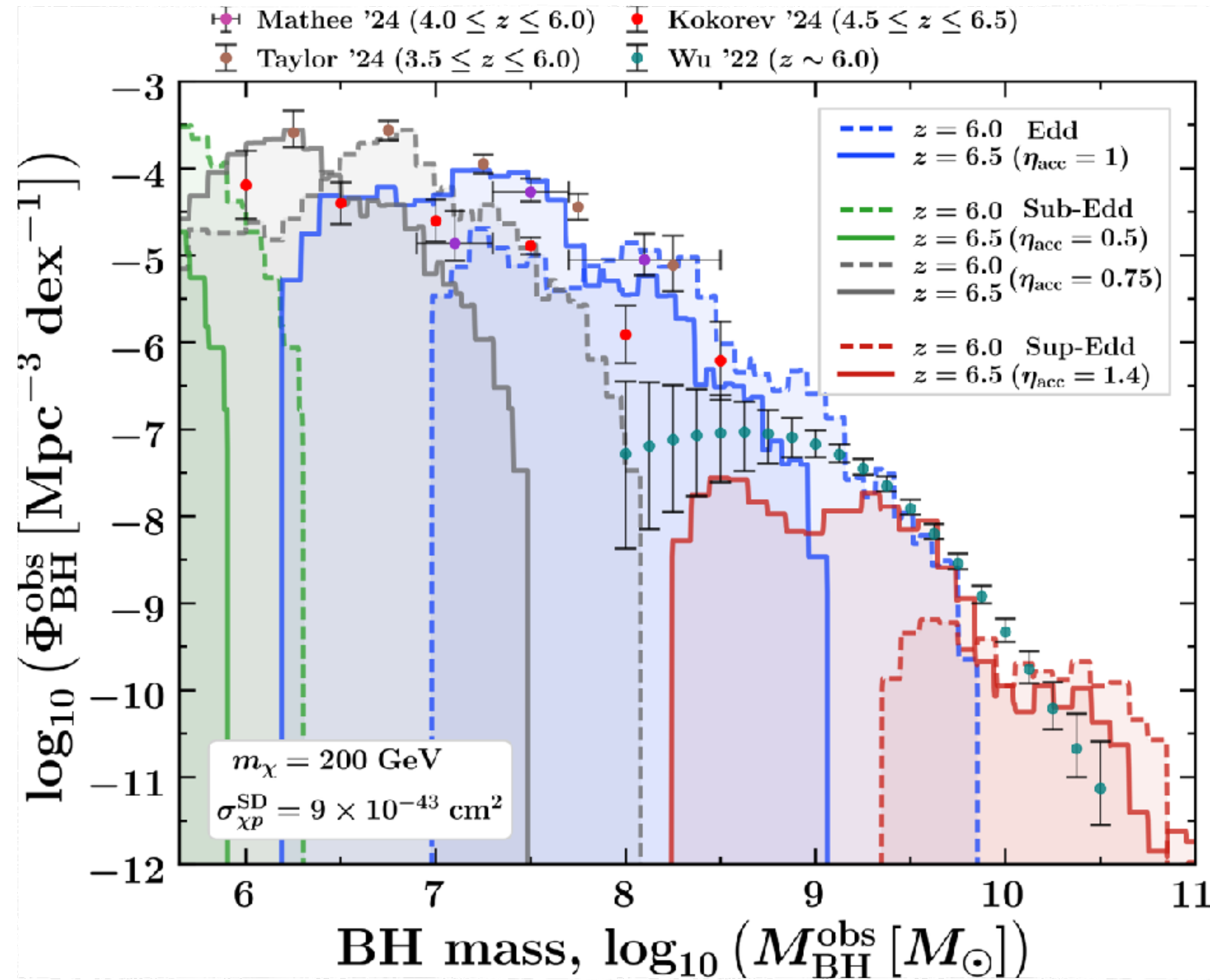
Radiation efficiency $\rightarrow \epsilon_r = 0.1$

Accretion efficiency $\rightarrow \eta_{\text{Edd}} =$

- 1.4 (Sup-Edd)
- 1.0 (Eddington)
- 0.75 (Sub-Edd)
- 0.5 (Sub-Edd)



SMBH mass function:

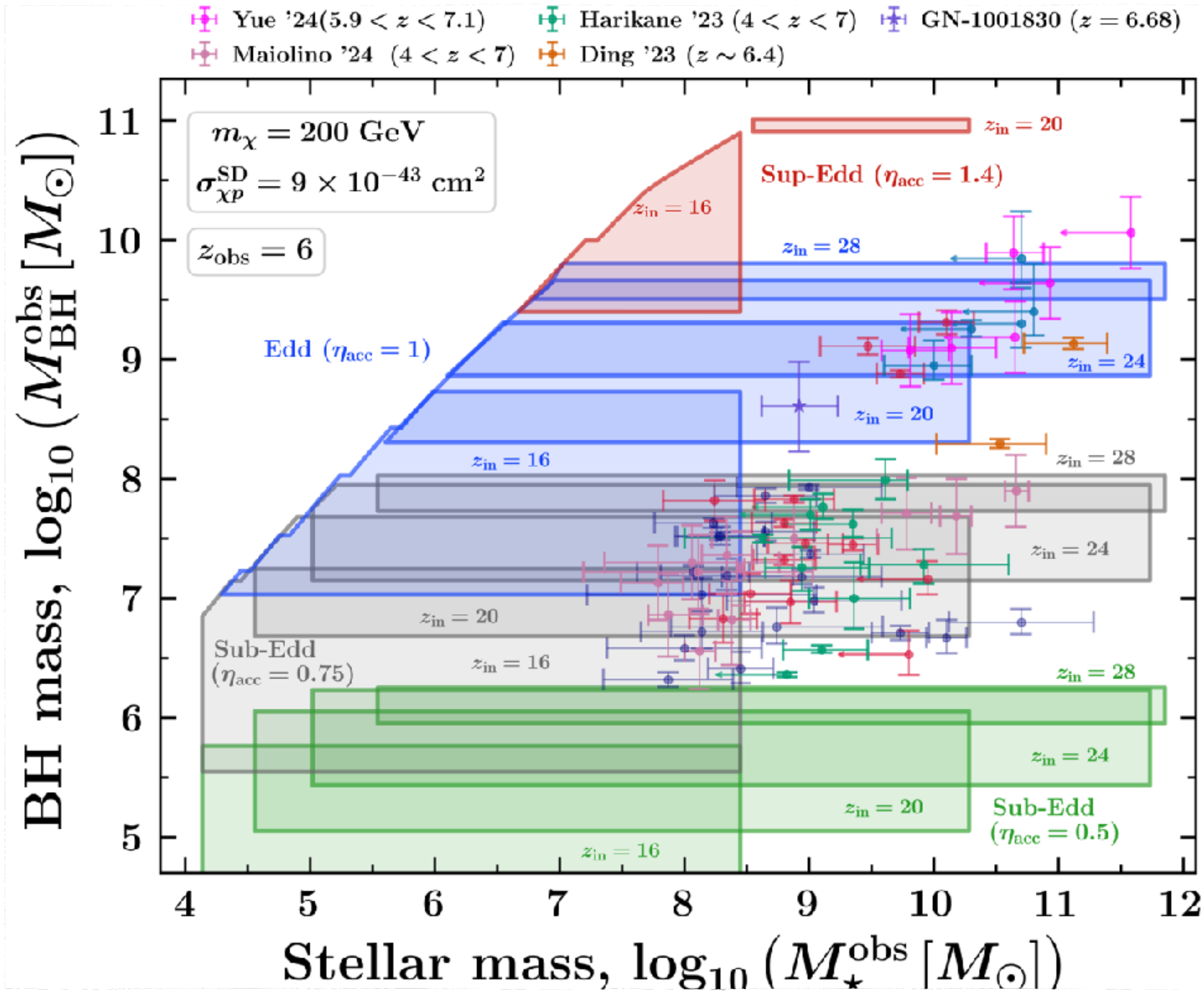


- ★ Initial redshifts $z_{\text{in}} = 16, 20, 24, 28$
- ★ Halo mass $M_{\text{halo}} \in [10^6, 10^9] M_{\odot}$
- ★ One Pop III star in a halo
- ★ Pop III IMF constructed from Hirano'15
- ★ Tracked halo growth
- ★ Mass function

$$\Phi_{\text{SMBH}} = \frac{dn_{\text{BH}}}{d \ln M_{\text{BH}}} = n_{\text{halo}} \times \frac{\delta n_s}{\delta M_s} \times f_{\text{norm}}$$

$$M_s \rightarrow M_{\text{BH}}$$

SMBH mass vs stellar mass:

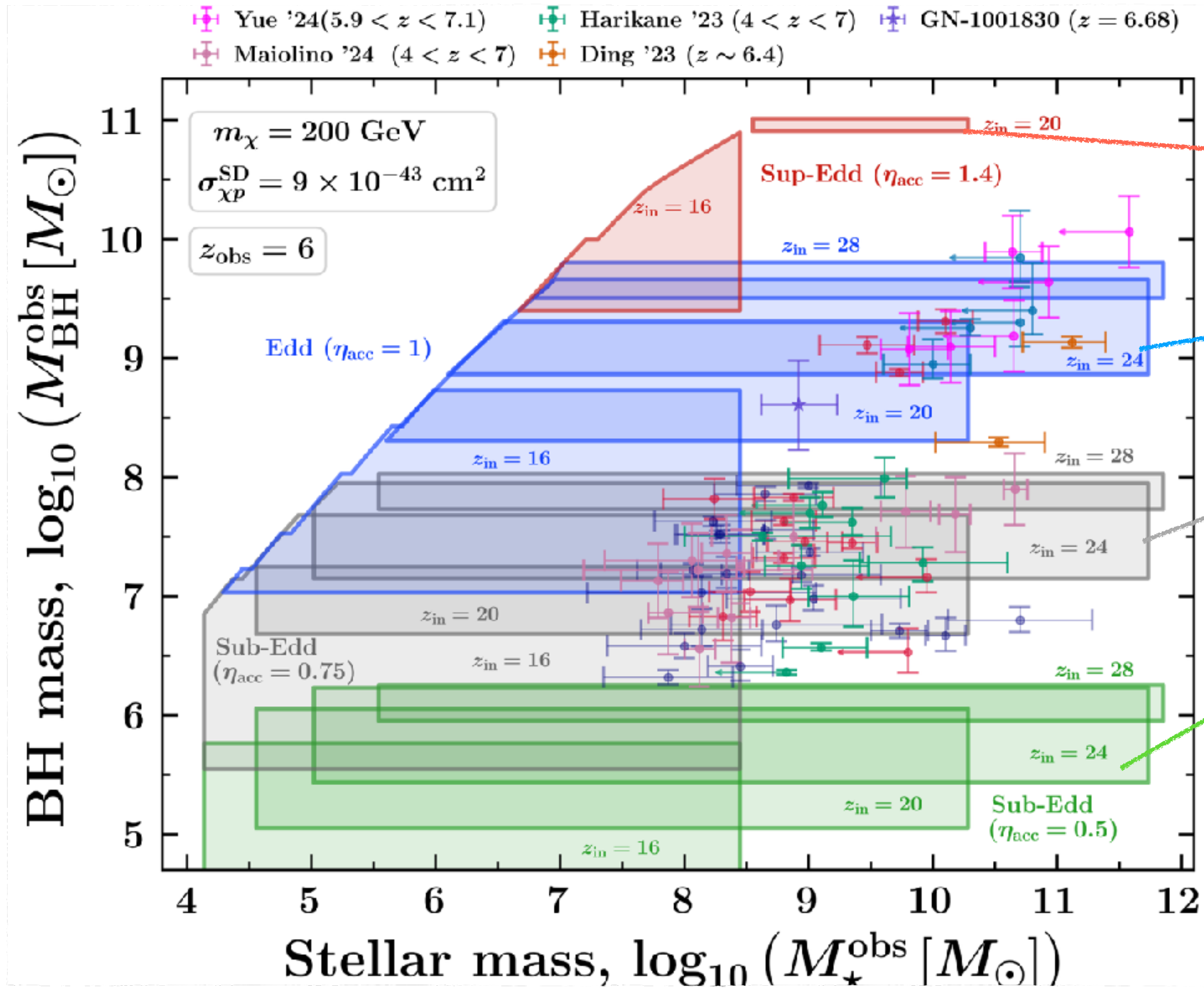


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- ★ Tracked halo growth
- ★ Stellar mass

$$M_\star = \xi_{\text{ratio}} M_{\text{halo}}$$

Obtained from fitting of UniverseMachine-DR1

SMBH mass vs stellar mass:



Accretion efficiency $\rightarrow \eta_{\text{Edd}}$

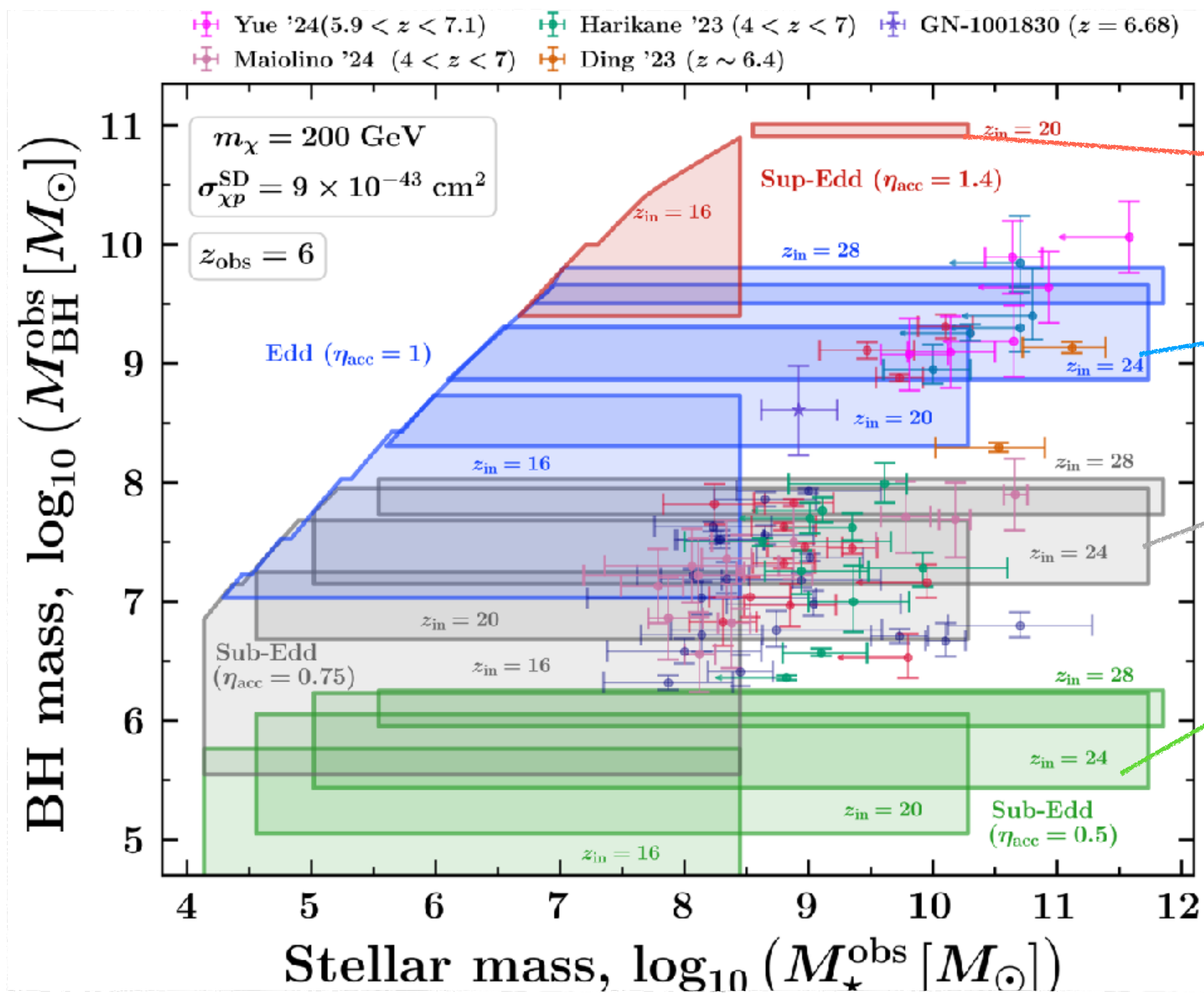
1.4 (Sup-Edd)

1.0 (Eddington)

0.75 (Sub-Edd)

0.5 (Sub-Edd)

SMBH mass vs stellar mass:



Accretion efficiency $\rightarrow \eta_{\text{Edd}}$

1.4 (Sup-Edd)

1.0 (Eddington)

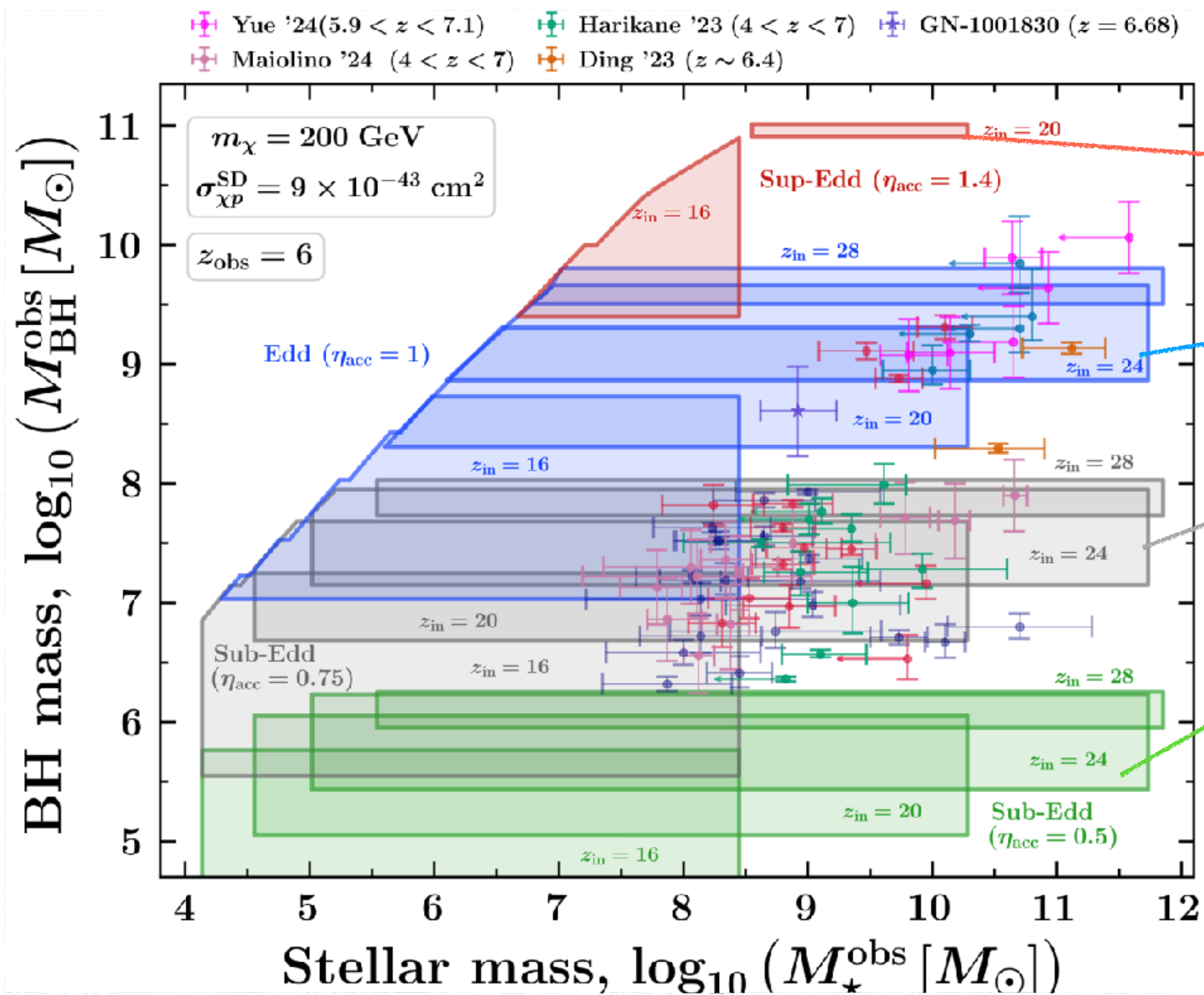
0.75 (Sub-Edd)

0.5 (Sub-Edd)

Increasing halo mass

Increasing Pop III
star mass

SMBH mass vs stellar mass:



Accretion efficiency $\rightarrow \eta_{\text{Edd}}$

1.4 (Sup-Edd)

1.0 (Eddington)

0.75 (Sub-Edd)

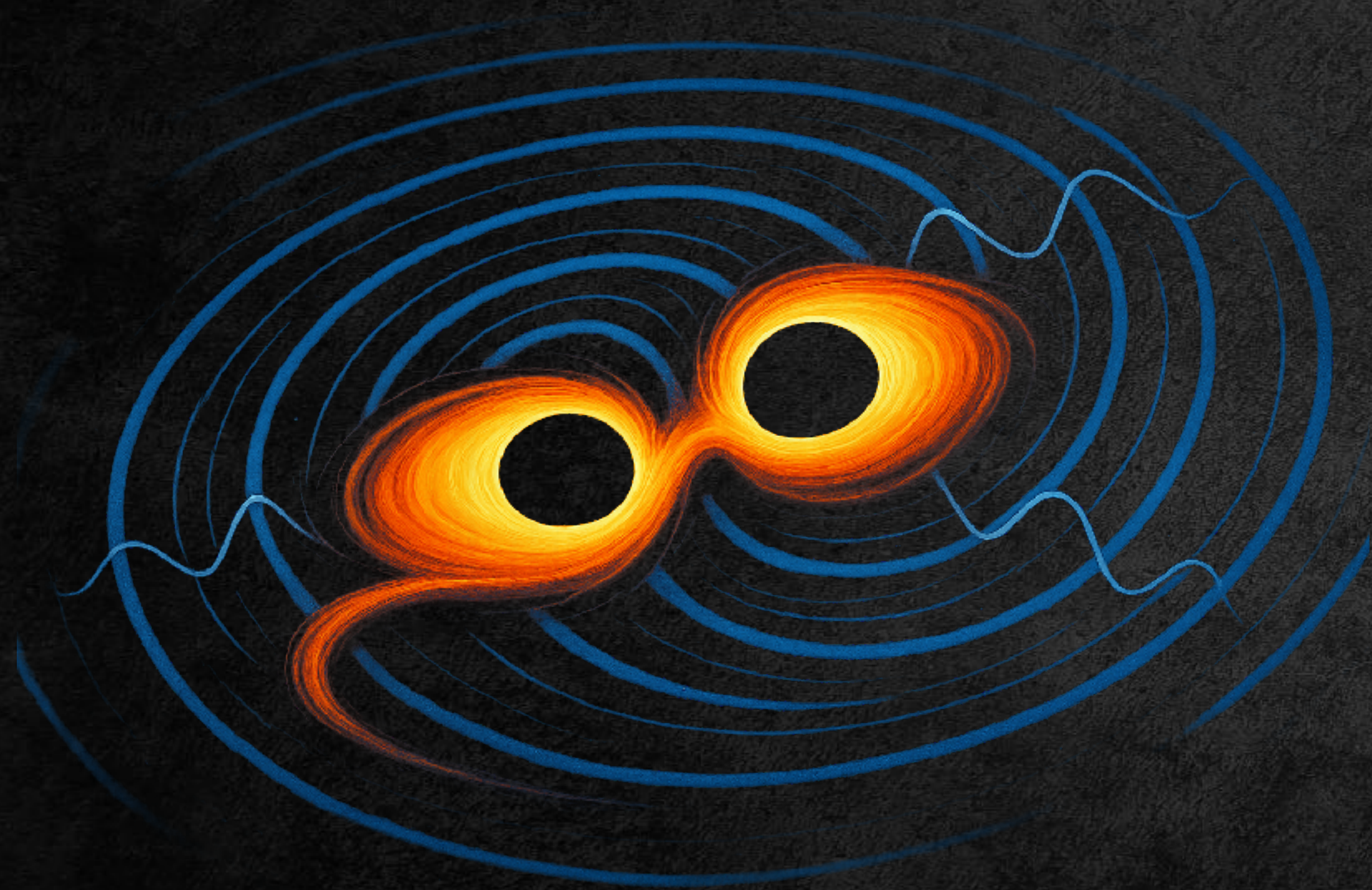
0.5 (Sub-Edd)

$M_{\text{BH}} < M_{\text{halo}}$

Increasing Pop III
star mass

Increasing halo mass

Gravitational Waves (GWs):



GW from Black hole merger (ChatGPT)

Two types of GW signatures -

- ★ Individual mergers (detectable by LISA)

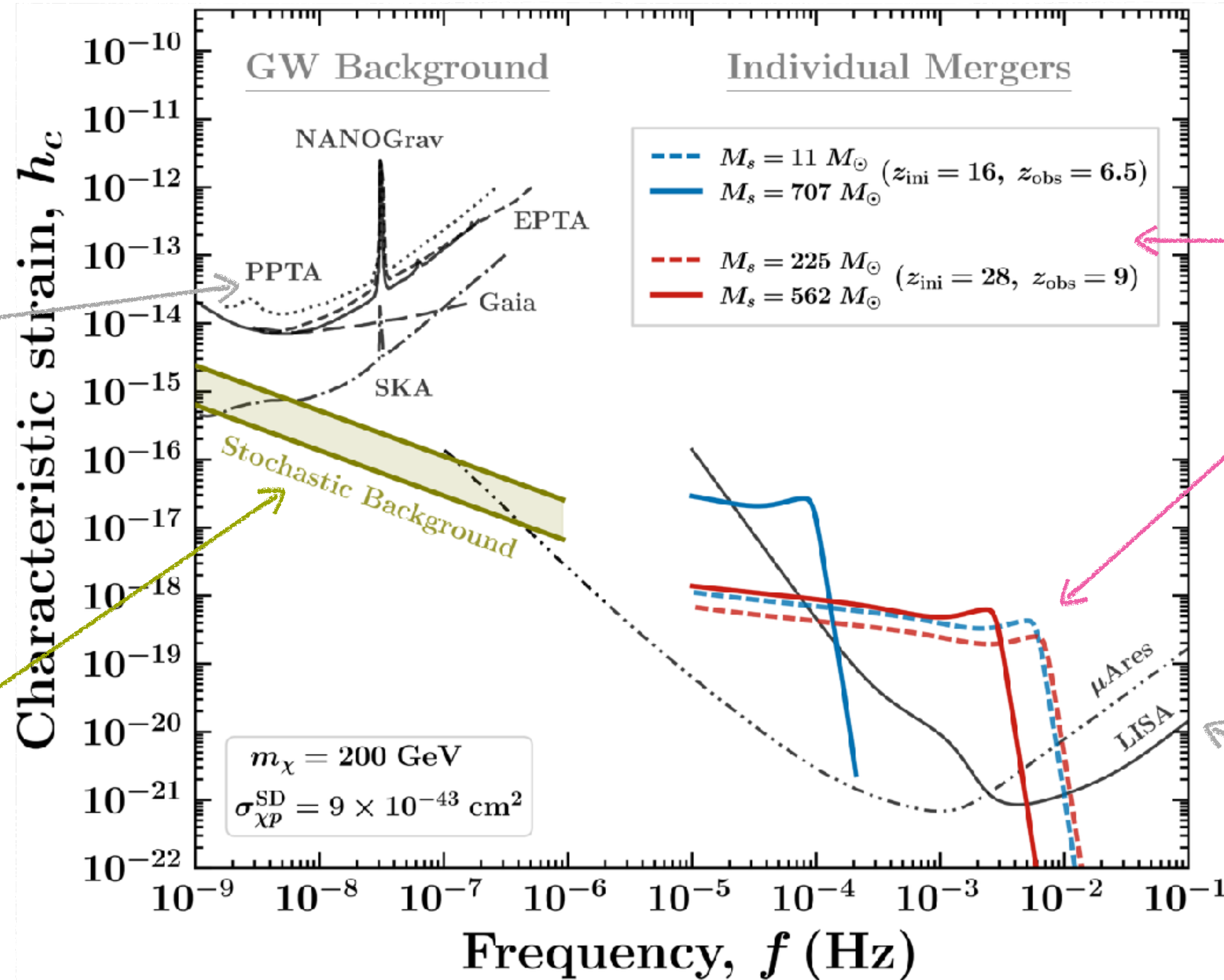
Strain obtained with pyCBC code

- ★ Stochastic GW background (PTA band)

$$h_{c, \text{back}} \approx 3 \times 10^{-24} \left(\frac{\mathcal{M}_c}{m_\odot} \right)^{5/6} \left(\frac{f}{1 \text{ mHz}} \right)^{-2/3} \left(\frac{n_{\text{SMBH}}^{\text{merger}}}{\text{Mpc}^{-3}} \right)^{1/2}$$

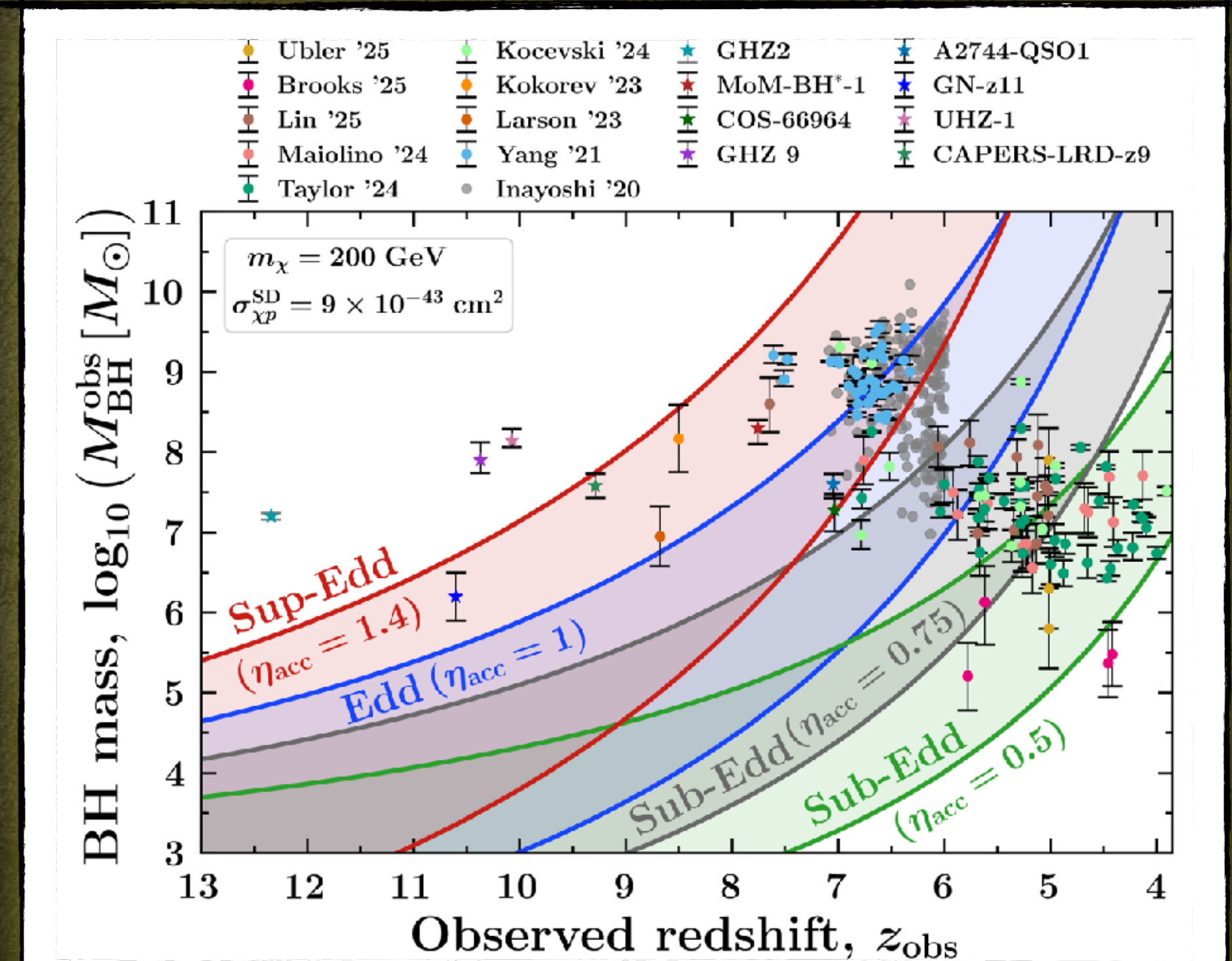
Phinney 2001

Gravitational Waves (GWs):



Conclusion

- Non-annihilating dark matter capture can lead to formation of SMBH seeds from premature death of Pop-III stars
- In this framework, we can explain
 - ★ SMBH masses at high redshifts
 - ★ SMBH mass vs galaxy stellar mass
 - ★ SMBH mass function
- Two types of GW signatures can be produced
 - ★ Individual mergers
 - ★ Stochastic GW background

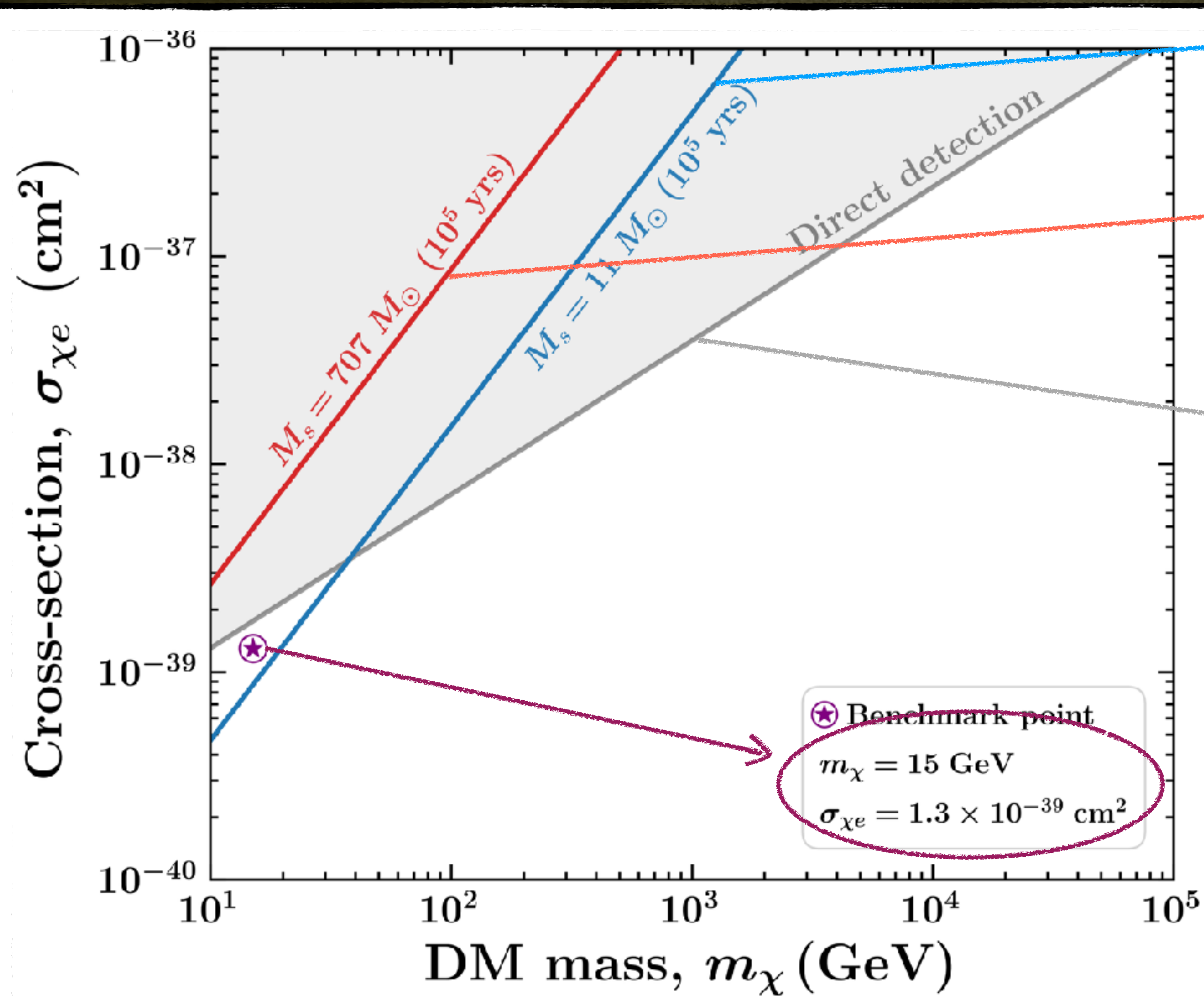


Thank You

Contact: debajitbose550@gmail.com

Backup Slides

DM-electron Scattering:



Low : $M_s = 11 M_\odot$, $R_s = 1.5 R_\odot$

High : $M_s = 707 M_\odot$, $R_s = 16.4 R_\odot$

Direct detection
(Extension of XENON1T S2-only)

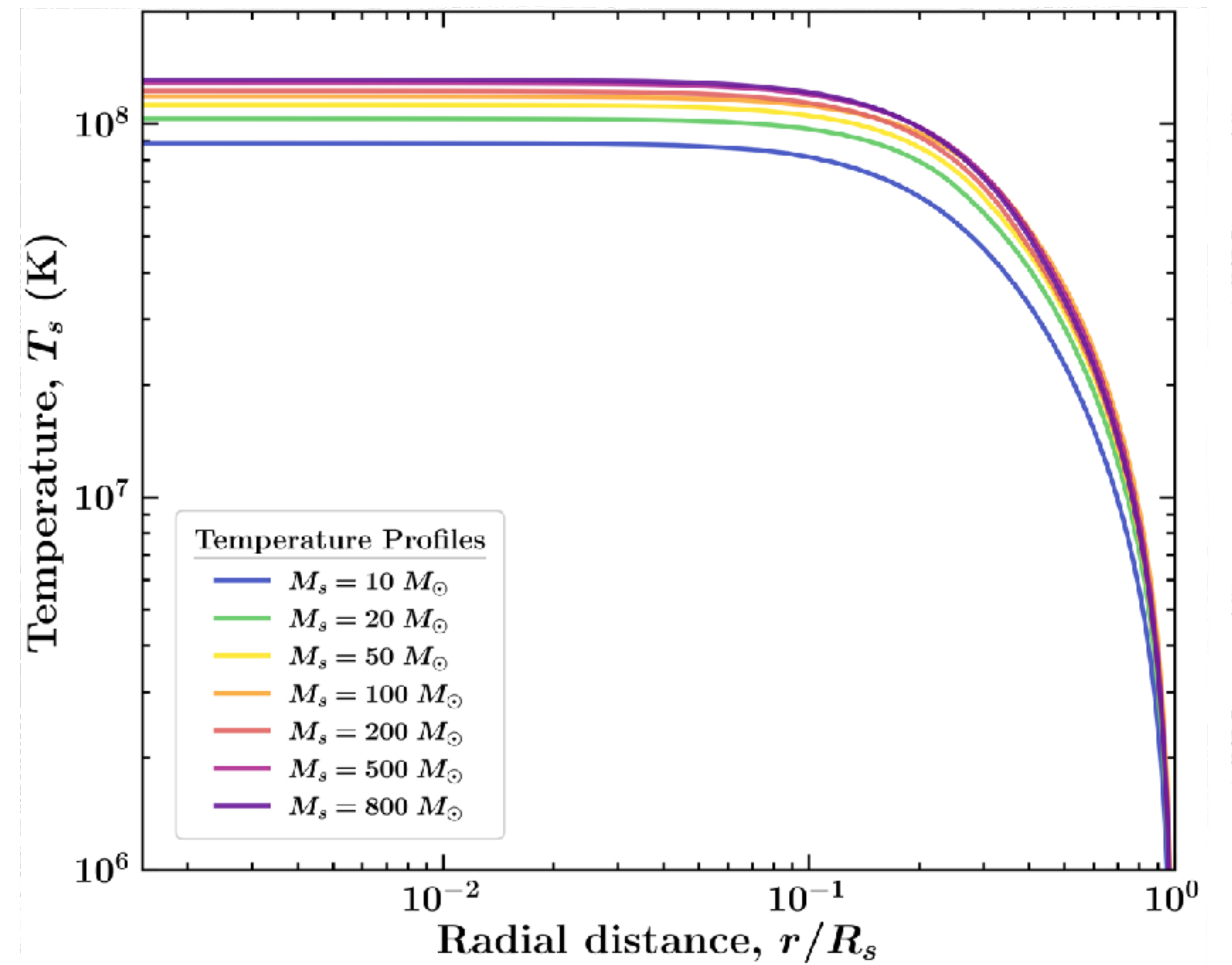
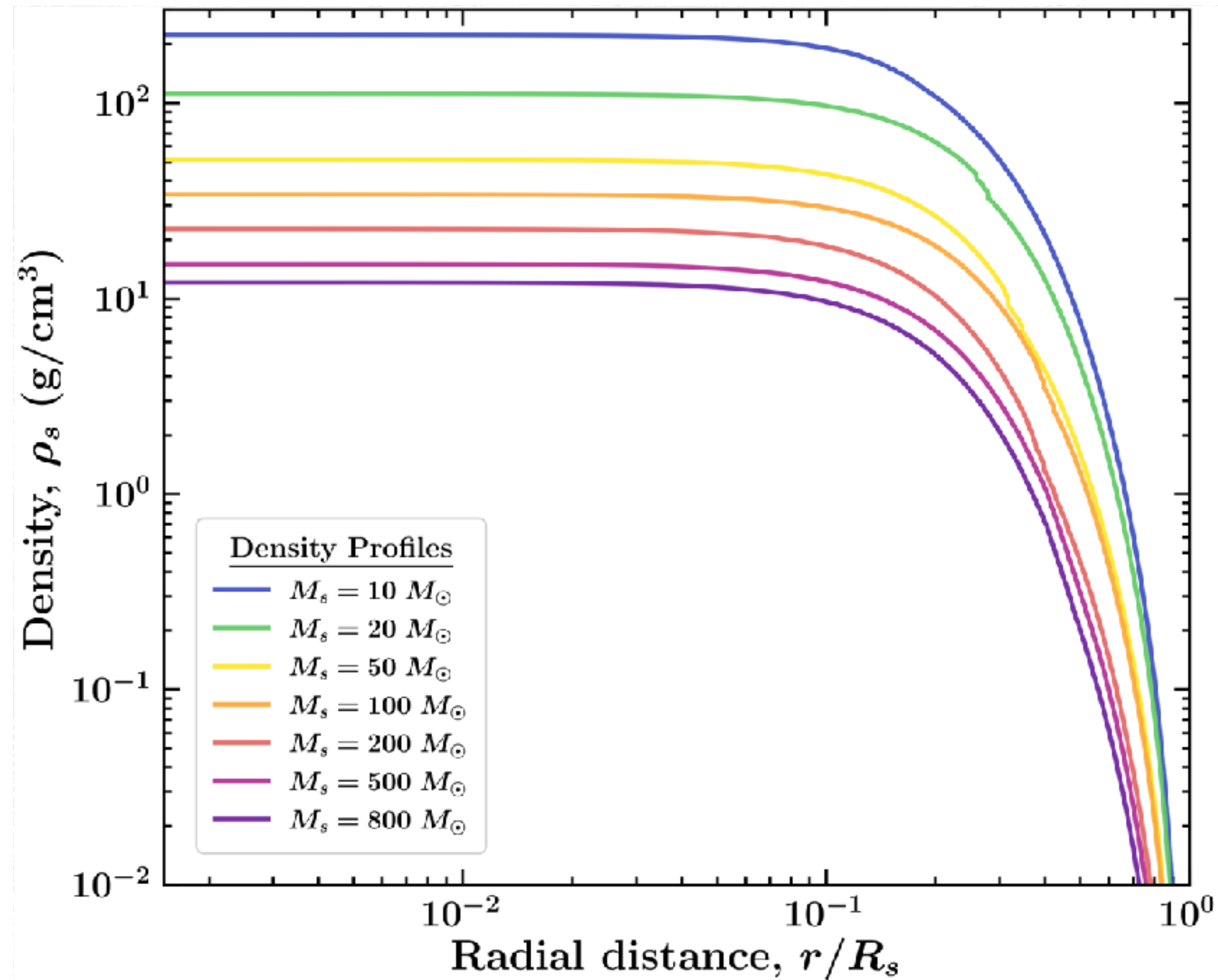
Radius, density, temperature are
obtained with MESA code

Pop-III radial profile:

Density profile

Obtained with MESA code

Temperature profile

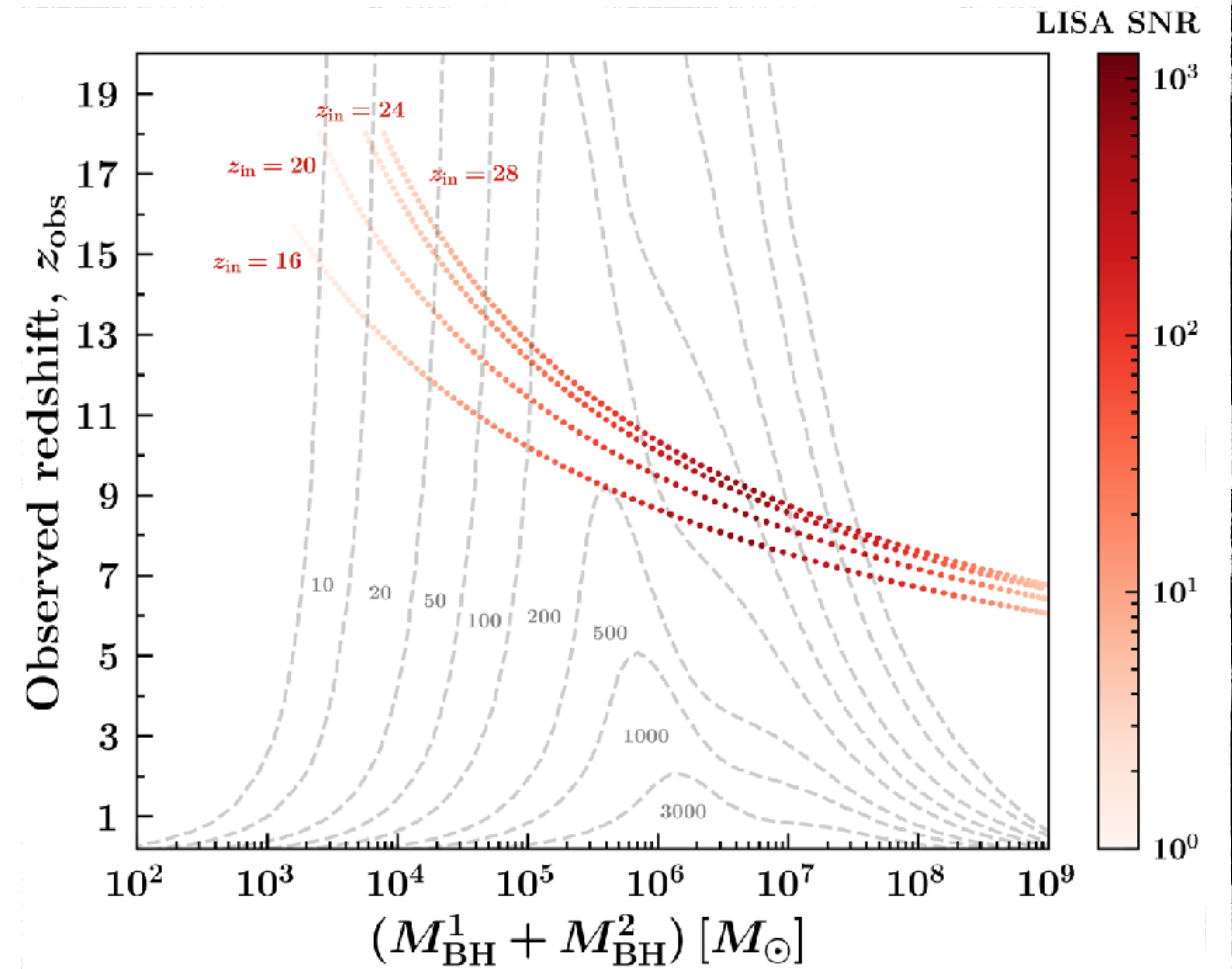
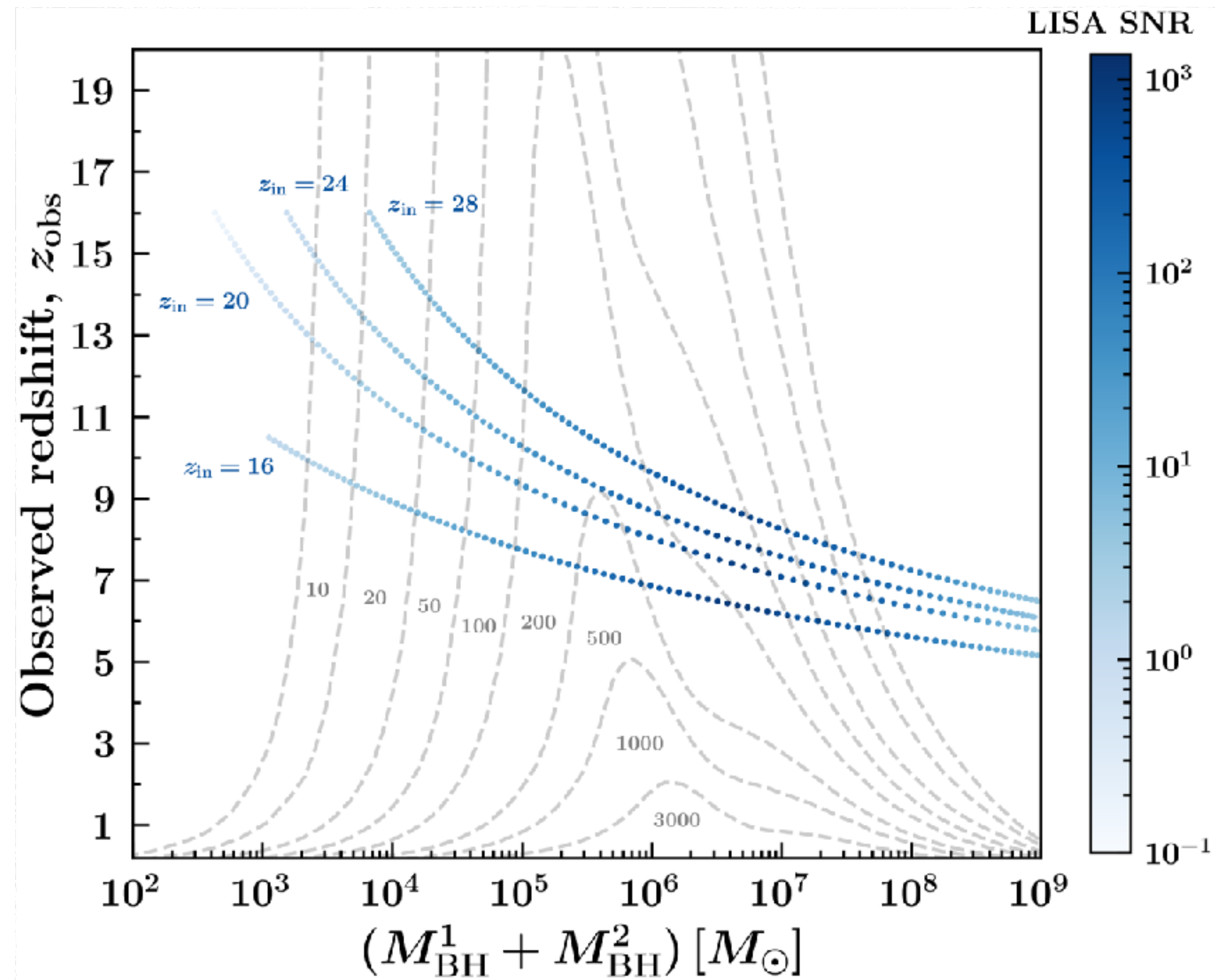


LISA Signal-to-Noise Ratio:

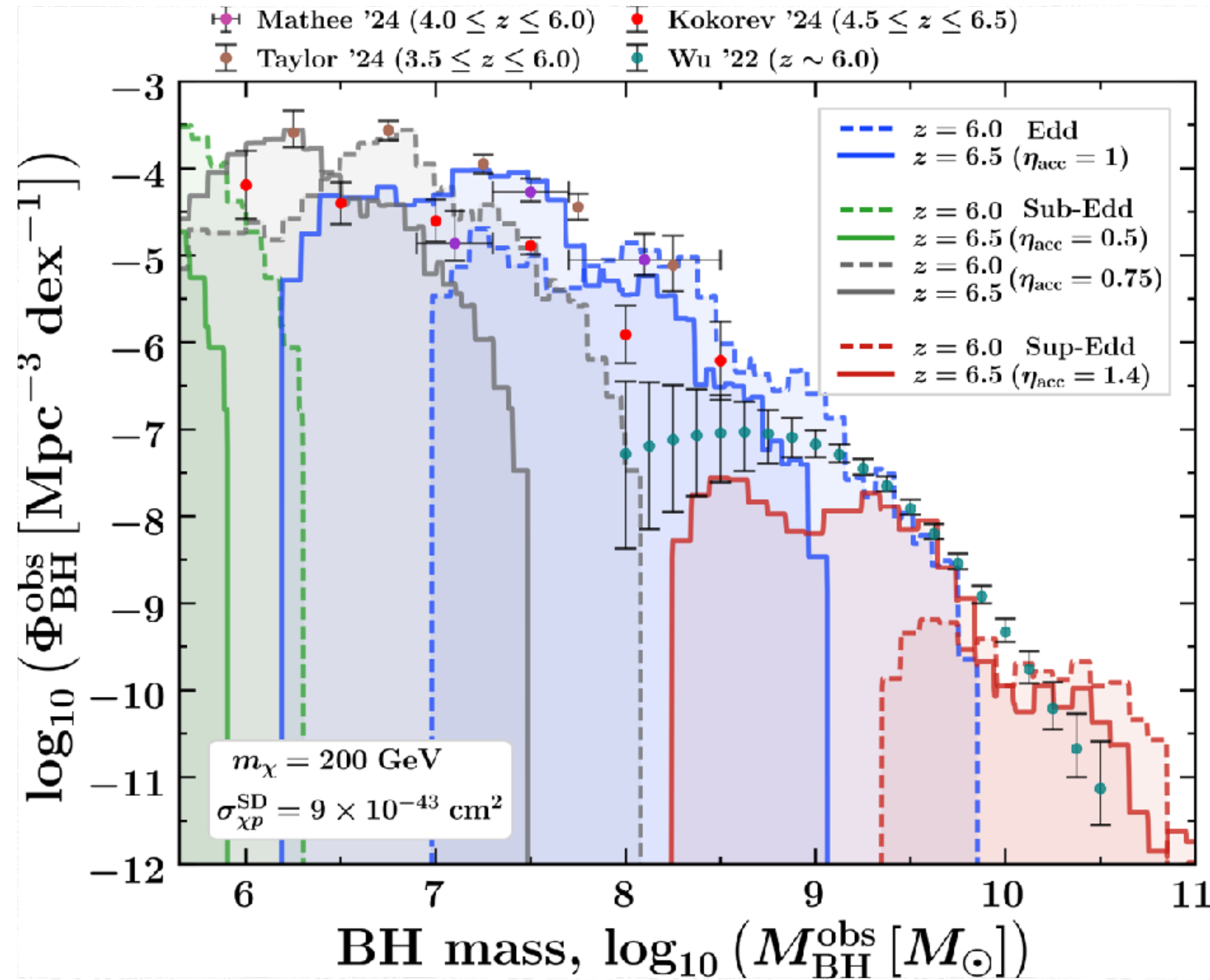
Lowest Pop III mass

Individual mergers

Highest Pop III mass



SMBH mass function:



$$M_s \rightarrow M_{\text{BH}}$$

$$\Phi_{\text{SMBH}} = \frac{dn_{\text{BH}}}{d\ln M_{\text{BH}}} = n_{\text{halo}} \times \frac{\delta n_s}{\delta M_s} \times f_{\text{norm}}$$

is a product of -

- ★ DM halo may not follow NFW
- ★ Pop III star may not be at central region
- ★ SMBH may not be in active phase
- ★ Seed black hole may not accrete efficiently